

Math 3032 (16 March 2021)

OH: Today 12-2 pm

HW 7: Due Thursday.

Optional HW: due Tuesday.

Goal: "Simplifying" ideals in $\mathbb{F}[x, y, \dots]$ finitely many variables.
 \mathbb{F} is a field.

→ not a precise term. If you find yourself faced with an ideal, how to describe it succinctly?

Example: If only one variable, then $\mathbb{F}[x]$ is a PID. Given $I \subseteq \mathbb{F}[x]$, can use long div to find $p(x) \in \mathbb{F}[x]$ s.t. $I = \langle p \rangle$.

Why? Ideals \leftrightarrow systems of polynomial equations.
in $TF[x, \dots]$

$$\langle f(x, y), g(x, y) \rangle \leftrightarrow \begin{cases} f(x, y) = 0 \\ g(x, y) = 0 \end{cases}$$

for any $h(x, y) \in \langle f, g \rangle$, if (x, y) solves $\begin{cases} f = 0 \\ g = 0 \end{cases}$, then it solves eqn $h(x, y) = 0$ as well.

If we can find a simpler description of $\langle f, g \rangle$, e.g. maybe $\langle f', g' \rangle$ where

f' and g' are "simple" than f, g , then we will have simplified our description of the solution set.

Elementary example:
(linear)

in $\mathbb{R}[x, y, z]$

$$f(x, y, z) := 3x - y + z - 1$$

$$g(x, y, z) := x + 2y + z - 6$$

the system
 $f(x, y) = g(x, y) = 0$
of homogeneous
linear equations

solving these is the
topic of Linear Algebra.

replace $f \rightsquigarrow f - 3g$
 $g = x + 2y + z - 6$
 $f - 3g = -7y - 2z + 17 = h$

$$\langle f, g \rangle = \langle g, f - 3g \rangle$$

$$h \rightsquigarrow \frac{h}{-7} = y + \frac{2}{7}z + \frac{17}{7}$$

$$\langle g, h \rangle = \langle g, h / -7 \rangle$$

$$g \rightsquigarrow g - 2 \cdot \frac{h}{-7} = x + 0y + \frac{1}{7}z + \frac{11}{7}$$

$$\begin{pmatrix} 3 & -1 & 1 \\ 1 & 2 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix} = \begin{pmatrix} 1 \\ 6 \end{pmatrix}$$

method from linear alg:

row-echelon reduction.

In terms of poly eqns
this is: ...

Note: we
arbitrarily chose
order x, y, z .

Defn name is (not great):

$$\vec{x} := (x_1, \dots, x_n) \quad "f_i(\vec{x})"$$

A basis for an ideal $I \subseteq \mathbb{F}[x_1, x_2, \dots, x_n] = R$ is a set $f_1, f_2, \dots, f_r \in R$ s.t. $I = \langle f_1, \dots, f_r \rangle$.

not typically equal

N.B.:
We are not assuming any "linear independence".

$$= \left\{ c_1(\vec{x}) f_1(\vec{x}) + c_2(\vec{x}) f_2(\vec{x}) + \dots + c_r(\vec{x}) f_r(\vec{x}) \right\},$$

where $c_i(\vec{x})$ vary over $\mathbb{F}[\vec{x}]$.

Better name would be "generating set".

If c_i 's were scalars rather than polynomials, then this would look like the span of a basis.

Hilbert basis theorem: Every ideal in $\mathbb{F}[x_1, \dots, x_n]$ does admit a finite basis.

Improved goal: given a basis, find a "simpler" basis for the same ideal.

The following operations take bases to bases (for some I):

- reordering.
- removing 0.
- rescale basis elts by nonzero $\underbrace{\text{elts of } \mathbb{F}}_{\text{numbers}}$.
- replace $f_1(\vec{x})$ by $f_1(\vec{x}) + c(\vec{x}) f_2(\vec{x})$.

e.g. in $\mathbb{F}[x]$, $f \mapsto$ remainder in long div of f by g .

- add a new elt from I to the basis.

Method: Do this "replacement"

$$f_1(\vec{x}) \mapsto f_1(\vec{x}) + c(\vec{x}) \frac{f_2(\vec{x})}{2} =: f_1'(\vec{x})$$

so that $f_1'(\vec{x})$ is "smaller" than $f_1(\vec{x})$.

E.g.: in one variable, "smaller" meant "lower degree".

Linear case: "smaller" meant e.g. "lower degree in x ".

"order of magnitude"

$$x \gg y \gg z \gg 1$$

nonzero constants ≈ 1

$$7x \approx x$$

$$x + 2y \approx x$$

$$x + 2y + z + 1 \gg 2y + z + 1.$$

$$y^2 \gg y.$$

$$\gg z + 1$$

$$\gg 1.$$

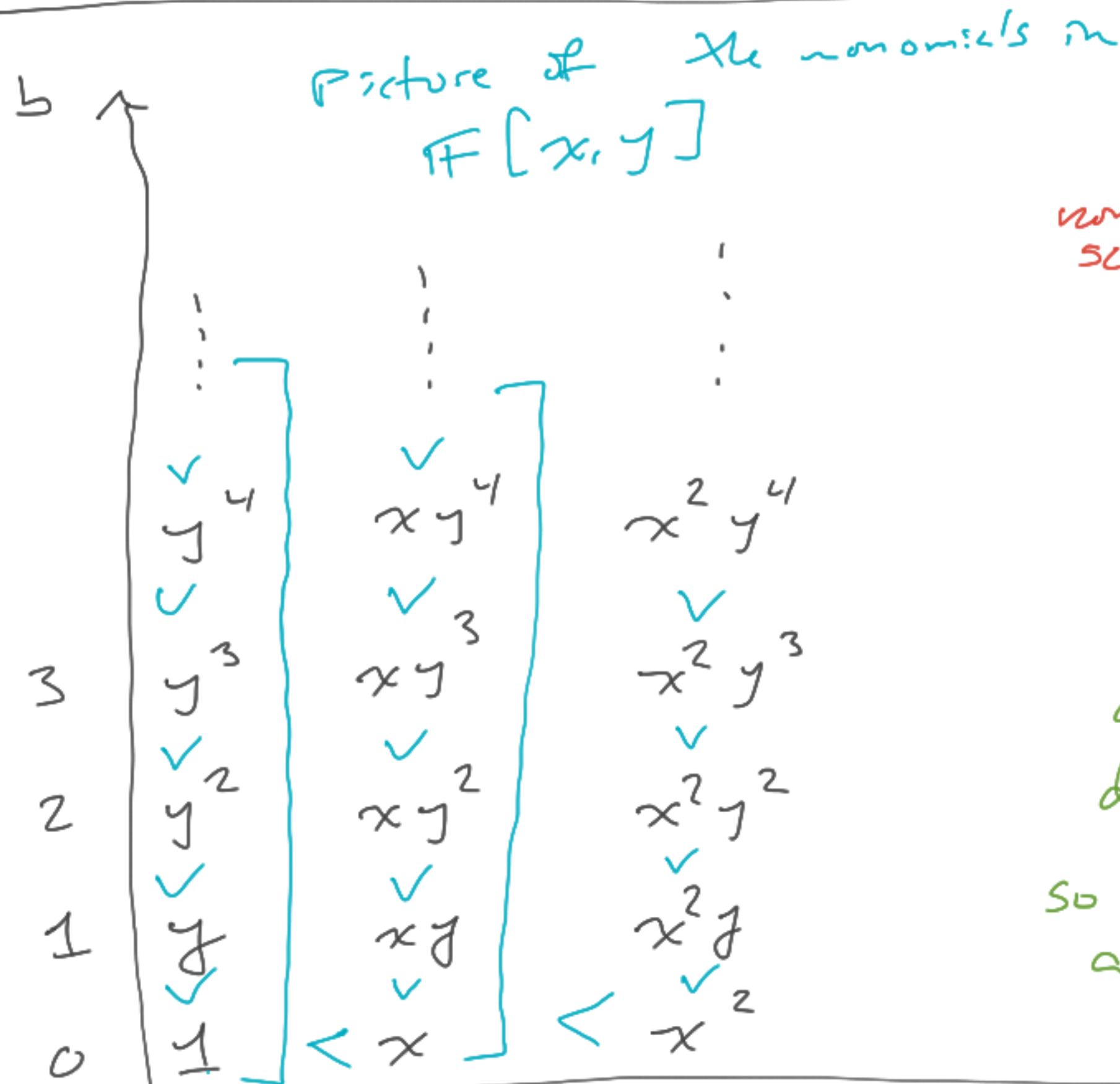
N.B.: $x \gg y$

"so much bigger" that

also $x \gg y^2$ and $x \gg y^3$ and so on.

ordering is arbitrary.

Picture (two variable case):



A monomial is $\# x^a y^b$ where $a, b \in \mathbb{N}$ (nonzero scalar) and $\mathbb{N} = \{0, 1, 2, \dots\}$.
without sums.

Any polynomial is a sum of (nonzero scalar multiples of) monomials, and we've decided a definite ordering of monomials, so every polynomial has a leading term.

e.g. leading term of $(y^2 + y^4 + xy^2 + x^2)$ is x^2

- Any poly is \approx its leading term.
- $f(x,y,\dots) = \underbrace{\text{leading monomial}}_{\approx f.} + \underbrace{\text{Lower order}}_{\ll f.}$

• Leading term of $(f(x,y) \cdot g(x,y))$
 $= (\text{leading term of } f) \cdot (\text{leading term of } g).$

Our simplification method will try to replace basis elts by elts with smaller leading term.

Best situation: $I = \langle xy^2 + y^3 + 3, x^2y^3 + x^2 + 2 \rangle.$

Because leading terms divide cleanly, long division is very attractive.

or rather, 1st step.

$$f(x,y) \rightsquigarrow f(x,y) - xy \cdot g(x,y).$$

!!
 $g(x,y)$

!!
 $f(x,y).$

$\approx xy^2$

$\approx x^2y^3 = (xy)^3$

(xy^2)

Best situation: $I = \langle xy^2 + y^3 + 3, x^2y^3 + x^2 + 2 \rangle$

Because leading terms divide cleanly, long division is very attractive. \leftarrow or rather, 1st step.

$g(x,y)$

$f(x,y)$

$$f(x,y) \rightsquigarrow f(x,y) - xy \cdot g(x,y)$$

$$I = \langle x^2 - xy^4 - 3xy + 2, xy^2 + y^3 + 3 \rangle$$

Now we have to decide how to proceed.

$h \gg g$, so $g \rightsquigarrow g + c \cdot h$ will increase S .
actually bad.

$h \rightsquigarrow h + c \cdot g$ will be of the same size.
doesn't help.

$$I = \langle x^2 - xy^4 - 3xy + 2, xy^2 + y^3 + 3 \rangle \subseteq \mathbb{Q}[x, y]$$

| | | | | |
|-------|--------|----------|--------|-----------|
| | | | | |
| | | | | $h(x, y)$ |
| | | | | |
| y^4 | xy^4 | | | |
| y^3 | xy^3 | x^2y^3 | | |
| y^2 | xy^2 | x^2y^2 | | |
| y | xy | x^2y | x^3y | |
| 1 | x | x^2 | x^3 | |

"l.c.m of h, g "

$s(x, y)$
ii

$$x \cdot g(x, y) - y^2 \cdot h(x, y)$$

$g(x, y)$

leading term of h

bigger than, but not
divisible by

leading term of g

Vocab aside:

A total order is

where any two elts
are comparable: either $a < b$
or $a > b$
or $a = b$.

divis. A partial order allows $a \neq b$
and $a \nmid b$ and $b \nmid a$.

if $a > b$
and $b > c$
then $a > c$.

set
of monomials

on a set

$$I = \langle x^2 - xy^4 - 3xy + 2, xy^2 + y^3 + 3 \rangle \subseteq \mathbb{Q}[x, y]$$

| | | | | |
|-------|--------|----------|----------|----------|
| ⋮ | ⋮ | ⋮ | ⋮ | ⋮ |
| y^4 | xy^4 | x^2y^4 | x^3y^4 | x^4y^4 |
| y^3 | xy^3 | x^2y^3 | x^3y^3 | x^4y^3 |
| y^2 | xy^2 | x^2y^2 | x^3y^2 | x^4y^2 |
| y | xy | x^2y | x^3y | x^4y |
| 1 | x | x^2 | x^3 | x^4 |

"l.c.m of h, g"

" $h(x, y)$ $g(x, y)$

$$\begin{aligned}
 s(x, y) &= x^2y^2 + xy^3 + 3x \\
 &- (x^2y^2 - xy^6 - 3xy^2 + 2y^2) \\
 &= xy^6 + xy^3 + 3xy^2 + 3x + 2y^2
 \end{aligned}$$

$$I = \langle h(x, y), s(x, y), g(x, y) \rangle$$

$$s \rightsquigarrow s - y^4 \cdot g$$

$s(x, y)$
ii
 $x \cdot g(x, y) - y^2 \cdot h(x, y)$

$$I = \langle x^2 - xy^4 - 3xy + 2, \quad xy^2 + y^3 + 3 \rangle \subseteq \mathbb{Q}[x, y]$$

$$h(x, y) = xy^2 + y^3 + 3$$

$$g(x, y) = xy^2 + y^3 + 3$$

$$s(x, y) = xy^6 + xy^3 + 3xy^2 + 3x + 2y^2$$

$$I = \langle h(x, y), s(x, y), g(x, y) \rangle$$

$$s \rightsquigarrow s - y^4 g$$

$$y^4 g = xy^6 + y^7 + 3y^4$$

$$t(x, y)$$

$$t(x, y) = xy^3 + 3xy^2 + 3x - y^7 - 3y^4 - 2y^2$$

$$t \rightsquigarrow t - y g$$

$$-xy^3 - y^4 - 3y$$

$$u(x, y) = 3xy^2 + 3x + \dots \text{ l.o.}$$

$$u(x, y) \rightsquigarrow u - 3g = 3x + \text{l.o.}$$