


Math 3032 Lecture 6 (Jan 28, 2021)

Office hours next week: Tues 12-2

HW 2 due end of today.

In your homework:

• sentences are good.

•  bad

• 

Today: More on polynomials.

Recall: Given any ring R , build a ring $R[x]$. $\sum_{n \geq 0} a_n x^n$

As an additive gp, $R[x] = \coprod_{n \geq 0} R x^n \Rightarrow (a_0, a_1, \dots)$
↑ notation for a copy of $(R, +)$.

$$(a_0, a_1, \dots) \cdot (b_0, b_1, \dots)$$

$$:= (a_0 b_0, a_0 b_1 + a_1 b_0, a_0 b_2 + a_1 b_1 + a_2 b_0, \dots)$$

Sequence which is eventually zero.

$$\left(\sum_i a_i x^i \right) \left(\sum_j b_j x^j \right)$$

$$= \sum_n \left(\sum_{i \leq n} a_i b_{n-i} \right) x^n$$

If R is
• unital
• com
• dom.
then so is $R[x]$.

If R is a domain (in particular, unit $1 \neq 0$)
 then so is $R[x]$, and so we have its field
 of fractions $R(x)$. The field of "rational functions".
 ↑
 not functions!

Intermediate between $R[x] \subsetneq R[x^{\pm 1}] \subsetneq R(x)$

every element
 can be written as

$$\xrightarrow{\text{ii}} R[x][x^{-1}]$$

"Laurent
 polynomials"

$$\frac{a(x)}{x^i} = a_0 x^{-i} + a_1 x^{1-i} + \dots + a_n x^{n-i}$$

where $a(x) = a_0 x^0 + a_1 x^1 + \dots + a_n x^n$
 $i \in \mathbb{N}$.

Find: $(R[x^{\pm 1}], +) \cong \coprod_{n \in \mathbb{Z}} R x^n.$

Aside: $(R[x], +) \cong \prod_{n \geq 0} R x^n.$

$R(x) = \underbrace{R[x][x^{-1}]}_{\substack{\text{the whole field} \\ \text{of fractions of } R[x] \\ \text{if } R \text{ was already} \\ \text{a field.}}} \ni \sum_{n \geq i} a_n x^n, \text{ for some } i \in \mathbb{Z}$

Every polynomial $a(x) \in \mathbb{R}[x]$ gives a function $\mathbb{R} \rightarrow \mathbb{R}$.

Namely, $\underset{\mathbb{R}}{r} \mapsto a(r)$ the value of evaluating a at r .

In other words, take the formal expression $\sum a_i x^i$ to the element $\sum a_i r^i \in \mathbb{R}$.

Fix $r \in \mathbb{R}$ and consider the map

$$\begin{aligned} \text{ev}_r : \mathbb{R}[x] &\rightarrow \mathbb{R} \\ a(x) &\mapsto a(r). \end{aligned}$$

Lemma:

ev_r is additive,
i.e. a hom of additive groups.

(because of the distributive law)

Proposition: If R is commutative, then

$ev_r : R[x] \rightarrow R$ is a ring homomorphism.

$$ev_r \left(\underbrace{\sum a_i x^i + \sum b_i x^i}_{\sum (a_i + b_i) x^i} \right) \stackrel{?}{=} \underbrace{ev_r(\sum a_i x^i)}_{\sum a_i r^i} + \underbrace{ev_r(\sum b_i x^i)}_{\sum b_i r^i}$$

Pf:

$$\sum (a_i + b_i) r^i \stackrel{?}{=} \sum a_i r^i + \sum b_i r^i \quad (\text{Yes}).$$

$$ev_r \left(\left(\sum_i a_i x^i \right) \left(\sum_j b_j x^j \right) \right) \stackrel{?}{=} \left(\sum_i a_i r^i \right) \left(\sum_j b_j r^j \right)$$

$$ev_r \left(\sum_k \left(\sum_{i=0}^k a_i b_{k-i} \right) x^k \right) \quad \parallel \quad \sum_{i,j} a_i r^i b_j r^j$$

$$\sum_k \left(\sum_i a_i b_{k-i} \right) r^k \quad \parallel \quad \sum_k \sum_{\substack{i+j=k \\ i=0}}^k a_i r^i b_{k-i} r^{k-i}$$

if r commutes w/ b_j 's.

There is a ring " $\mathbb{R}^{\mathbb{R}}$ " or "functions (\mathbb{R}, \mathbb{R}) "
which is the set of all functions $\mathbb{R} \rightarrow \mathbb{R}$.
addition and multiplication are "pointwise":

$$f, g \in \mathbb{R}^{\mathbb{R}} \text{ then } (f+g) : r \mapsto f(r) + g(r)$$

$$(f \cdot g) : r \mapsto f(r) \cdot g(r).$$

$ev_* : \mathbb{R}[x] \rightarrow \mathbb{R}^{\mathbb{R}}$ is a ring hom
if \mathbb{R} is commutative.

POLYNOMIALS ARE NOT FUNCTIONS.

In particular: ev_* can have a kernel!

• when $R = \mathbb{R}$ or \mathbb{C} , then

$$\text{ev}_* : R[x] \hookrightarrow R^R.$$

For these coeffs,
two polys are equal
if their functions
are equal.

• If $R = \mathbb{Z}/p \cong \mathbb{F}_p$,

then $R[x]$ has ∞ many elements

but R^R has only $p^p < \infty$ many elements.

So ev_* has no chance to be injective.

Fermat's little theorem: x^1 and $x^p \in \mathbb{F}_p[x]$
are different as polys, but they have the same values
as functions.

$$-x + x^p \in \mathbb{F}_p[x]$$

$\wedge \leftarrow \text{FLT}$

$$\text{Ker}(ev_*) \quad \text{ie.} \quad ev_*(-x + x^p) = 0 \in (\mathbb{F}_p)^{(\mathbb{F}_p)}$$

$$\mathbb{F}_2 \cong \mathbb{Z}/2 \quad \subseteq \quad \mathbb{F}_4 = \{0, 1, \omega, \bar{\omega}\}$$

$$\begin{array}{c} \text{"} \\ \{0, 1\} \end{array} \quad \begin{array}{c|cc} + & 0 & 1 \\ \hline 0 & 0 & 1 \\ 1 & 1 & 0 \end{array} \quad \begin{array}{c|cc} x & 0 & 1 \\ \hline 0 & 0 & 0 \\ 1 & 0 & 1 \end{array}$$

$$(\mathbb{F}_4, +) \cong (\mathbb{Z}/2)^2 \quad \omega + \omega = 0$$

$$\bar{\omega} = 1 + \omega$$

$$\omega^2 = \bar{\omega}, \quad \bar{\omega}^2 = \omega$$

$$\mathbb{F}_2[x] \subseteq \mathbb{F}_4[x]$$

$$\boxed{-x + x^2}$$

$$0 \neq -\omega + \omega^2 = 1$$

$$\begin{array}{c|cccc} x & 0 & 1 & \omega & \bar{\omega} \\ \hline 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 1 & \omega & \bar{\omega} \\ \omega & 0 & \omega & \bar{\omega} & 1 \\ \bar{\omega} & 0 & \bar{\omega} & 1 & \omega \end{array}$$

\uparrow the values of this poly on inputs in \mathbb{F}_2 all vanish

Vocab: If $f \in \mathbb{R}[x]$ and $r \in \mathbb{R}$ s.t.

$$0 = \text{ev}_r(f) = f(r) \quad \text{ie. if } f \in \ker(\text{ev}_r)$$

then r is called a zero of f .

E.g.:

- Every element of \mathbb{F}_p is a zero of $x^p - x$.
- No element of \mathbb{R} is a zero of $x^2 + 1$.
- In \mathbb{F}_5 , no element is a zero of $x^2 + 2$.
- In \mathbb{F}_5 , 2 is a zero of $x^2 + 1$.

What are the homomorphisms with domain $R[x]$?
i.e. what is $\text{hom}(R[x], S)$? (R, S commutative).

When $S=R$, $\text{hom}(R[x], R) \ni \text{ev}_r$ for each $r \in R$.

Punchline
Slogan: " ev_r 's are all the homs."

$$\begin{array}{ccc} \varphi : r \cdot x^i \in R[x] & \longrightarrow & S \\ \uparrow & \nearrow & \uparrow \\ r \in R & \xrightarrow{\text{id}_R} & R \end{array}$$

$$\varphi \left(\begin{array}{c} x^i \\ \uparrow \\ R[x] \end{array} \right) \in S$$

So any $\varphi : R[x] \rightarrow S$
includes in its
data

- $\varphi|_R : R \rightarrow S$.

- $\varphi(x) \in S$.

we just described a map of sets

$$\begin{array}{ccc} \text{hom}(R[x], S) & \longrightarrow & \text{hom}(R, S) \times S \\ \downarrow \varphi & \longmapsto & (\varphi|_R, \varphi(x)) \end{array}$$

Thm: If S is commutative, then φ is a bijection.

the hom.

$$\left(\sum a_i x^i \right) \mapsto \left(\sum \phi(a_i) s^i \right)$$

$$\begin{array}{ccc} \longleftarrow & \longmapsto & \left(\begin{array}{c} \phi \\ \uparrow \\ \text{hom}(R, S) \end{array}, \begin{array}{c} s \\ \uparrow \\ S \end{array} \right) \end{array}$$

- Need to check:
- φ is indeed a ring hom $R[x] \rightarrow S$.
 - φ and ψ are indeed inverse to each other.

In particular, $S = R$ and I decide to use

$$\begin{array}{c} \text{id} \\ \uparrow \\ \text{hom}(R, R) \end{array}$$

$$\text{hom}(R[x], R) = \text{hom}(R, R) \times R \begin{array}{c} \cup \\ \text{id} \end{array}$$

Kind: iff $\varphi: R[x] \rightarrow R$ is a ^{ring} homomorphism
sit. $\varphi|_R = \text{id}$, then $\varphi = \text{ev}_r$
where $r = \varphi(x)$.

"All homomorphisms w/ domain $R[x]$ are
(twisted) evaluation maps"
 $\hat{=}$ by a hom w/ domain R .

Example:

Start w/ ring $R \rightsquigarrow R[x]$.

$\left\{ \begin{array}{l} R[x] \text{ is a ring} \\ \rightsquigarrow \end{array} \right. \underbrace{(R[x])[y] =: R[x,y]}_{\text{polynomial ring w/ variable } y \text{ and coeffs } \in R[x]}.$

$R[y] \rightsquigarrow R[y][x].$

||s dif letter.

$R[x]$

$$\sum_i \underbrace{(a_{ij}(x))}_{\wedge} y^i$$
$$\left(\sum_j a_{ij} x^j \right)$$

Hom $\mathbb{R}[x, y] \xrightarrow{\Phi} \mathbb{R}[y, x]$ is an ISO.

\Downarrow $\mathbb{R}(x)[y]$ $\mathbb{R}(y)[x]$

$\Phi|_{\mathbb{R}(x)}$ and

$\Phi(y)$

ii
 φ

ii decide
 $y \cdot x^0$

$\varphi: \mathbb{R}(x) \rightarrow \mathbb{R}(y, x)$

$\varphi|_{\mathbb{R}} :=$ inclusion $\mathbb{R} \hookrightarrow \mathbb{R}(y, x)$

$\varphi(x) := x$

Warning:

$\mathbb{R}[x][y]$

is in a way
that $x \mapsto x$
 $y \mapsto y$

$\mathbb{R}[y][x]$.

What are homs w/ domain

R domain

$$R[x^{\pm 1}] = R[x][x^{-1}]?$$

$$R(x) ?$$

$$\text{homs} (R[x][x^{-1}], S) = \left\{ \varphi: R[x] \rightarrow S \text{ s.t. } \varphi(x) \text{ is invertible} \right\}$$

$$\cong \text{homs}(R, S) \times S^{\times}$$