

**\*\*This document was last updated on May 2, 2008. A more recent version may be available as part of <http://math.berkeley.edu/~theo/f/CstarAlgebras.pdf>.\*\***

**1: May 5, 2008**

## 1.1 Vector bundles and projective modules

**Theorem:** (Swan, 1962)

Let  $E$  be a ( $\mathbb{R}$  or  $\mathbb{C}$ ) vector bundle over  $X$  compact. Then  $\Gamma(E)$  is a projective  $A$  module for  $A = C(X)$ . Conversely, suppose  $\Xi$  is a projective  $A$  module. Then by definition there exists  $\Xi_1$  such that  $\Xi \oplus \Xi_1 \cong (A^n)_A$ . Let  $Q$  be the projection of  $A^n$  onto  $\Xi$  along  $\Xi_1$ . I.e.,  $Q \in \text{End}_A(A^n) = M_n(A)$  and  $Q^2 = Q$  (in this generality we don't have self-adjointness; in this case  $Q$  is called "idempotent"); view  $Q$  as a matrix of functions:  $M_n(C(X \rightarrow k)) = C(X \rightarrow M_n(k))$  for  $k =$  ground field  $\mathbb{R}$  or  $\mathbb{C}$ . Define  $E$  a vector bundle by: the fiber  $E_x$  above  $x$  is the range of  $Q(x)$  in  $k^n$ . This function is clearly continuous in  $x$ , so essentially it is a bundle. We check local triviality: if  $b_1, \dots, b_k$  is a basis for  $\text{range}(Q(x_0))$ , then view  $b_i \in k^n$ , and then write down  $Q(x)b_j$  and  $(1 - Q(x))b_j$ . We take the determinant of these  $n$  vectors; they're a basis at  $x_0$ , so this determinant is non-zero, and determinant is continuous in the coefficients, so it's a basis in a small neighborhood.  $\square$

**Question from the audience:** If  $X$  is not connected, there might be dimension change from component to component? **Answer:** Absolutely. Our definition of "vector bundle" allows for this

When  $X$  is not compact, the story is more complicated. But usually when we have non-compact spaces, we control the behavior at infinity by having in mind a particular compactification, and that throws us back into this story. For instance, we might use the one-point compactification; this makes our bundle trivial at infinity, i.e. there's a large enough compact set in  $X$  so that on the complement, the bundle is trivial.

For any ring  $R$  with 1, we can consider the finitely generated projective modules (if you're very careful, that's not a set, but you know how to deal with this), and we consider them up to isomorphism class:  $\mathcal{S}(R)$  is the set of isomorphism classes. Given projective modules  $\Xi_1, \Xi_2$ , it's obvious that  $\Xi_1 \oplus \Xi_2$ . (Everywhere finitely generated, but I don't want to go into that. **Question from the audience:** Meaning  $n$  is finite? **Answer:** Well, a little more complicated. **Question from the audience:** Every kind of projective module we've defined is f.g. **Answer:** Yes) This sum interpreted as bundles is fiber-wise, called the "Whitney sum". This defines an addition on  $\mathcal{S}(R)$ , which is certainly commutative, and the 0 module is an identity element. So  $\mathcal{S}(R)$  is a commutative semigroup with 0. This is an invariant of  $R$ . I.e. this is all functorial, but I'm glossing over that.

$\mathcal{S}(R)$  is interesting to calculate. As a teaser, let  $\theta \in M_d(\mathbb{R})$ , and build  $A_\theta$ . If  $\theta$  has at least one irrational entry, then we can describe  $\mathcal{S}(A_\theta)$  in pretty explicit terms; indeed, up to isomorphism we can

construct all the projective modules. The description depends on  $\theta$  and is a little bit complicated. On the other hand, for  $\theta = 0$ , we have  $C(T^d)$ , and for  $d \gtrsim 10$ ,  $\mathcal{S}(C(T^d))$  is basically unknown: it corresponds to homotopy classes of something, but it's way too complicated. Similarly for the  $d$ -sphere above a certain dimension. In a surprising number of cases, the quantum world ends up being nicer like this than the classical world: the classical world ends up being “degenerate”.

Let's indicate some of the obstructions. Last time, we gave some projective modules over the circle. Let's look at  $S^2 \subseteq \mathbb{R}^3$  the unit two-sphere. Then we have the tangent bundle and cross-sections  $\Gamma(TS^2) = \{\xi : S^2 \rightarrow \mathbb{R}^3 \text{ s.t. } \xi(x) \cdot x = 0 \forall x \in S\}$ . We know the hairy ball theorem: this is not the trivial bundle, i.e. it's not  $A_A^2$ , where  $A = C(S^2 \rightarrow \mathbb{R})$ . We can also define the normal bundle  $\Gamma(NS^2) = \{\xi : S^2 \rightarrow \mathbb{R}^3 \text{ s.t. } \xi(x) \in \mathbb{R}x\}$ . This is the trivial bundle  $A_A$ . Well,  $\Gamma(TS^2) \oplus \Gamma(NS^2) = A_A^3$  is a trivial (i.e. free) bundle. So  $\Gamma(TS^2) \oplus A \cong A^2 \oplus A$ , but  $\Gamma(TS^2) \not\cong A^2$ , so  $\mathcal{S}(C(S^2 \rightarrow \mathbb{R}))$  is not cancelative. Even presenting semigroups in which cancelation fails is complicated. We can play the same game over  $\mathbb{C}$ , but have to get to  $d \geq 5$  for cancelation in  $\mathcal{S}(C(S^d \rightarrow \mathbb{C}))$  to fail. So the moral of the story: calculating  $\mathcal{S}(R)$  can be hard.

On the other hand, in a paper some years ago by R., we show that in the noncommutative torus and a non-zero **\*\*or non-rational, I didn't hear\*\*** entry in  $\theta$ , cancelation holds.

Given a semigroup  $S$  commutative with 0, force cancellation. I.e. consider  $s \sim t$  if  $\exists r$  with  $s + r = t + r$ . Check: then  $S / \sim$  is a commutative unital cancelative semigroup. Call it  $cS$ , standing for cancellation. **\*\*Board says “ $C(S)$ ”, but also “there are too many  $C$ s around”, so I'll use this notation.\*\*** So we set  $\mathcal{C}(R) = c\mathcal{S}(R)$ . This is also an invariant of  $R$ , and can be a bit easier to calculate, but still possibly daunting.

Ok, remember how to construct the integers from the positive integers? That procedure works for any semigroup with cancellation. Recall: we look at pairs  $(m, n)$  which we think of as  $m - n$ , and consider  $(m, n) \sim (m', n')$  if  $m + n' = m' + n$ . For a cancelative commutative semigroup  $C$ , we can embed it in an abelian group  $gC$ . **\*\*“groupify”\*\*** This procedure again loses information. We define  $K_0(R) \stackrel{\text{def}}{=} g\mathcal{C}(R) = gc\mathcal{S}(R)$ . This is the 0-group of  $K$ -theory, and finally gets us to a homology theory. For complicated examples, this can still be difficult to calculate.  $\mathcal{C}(R)$  is a “positive cone” inside  $K_0(R)$ ; it may be degenerate (e.g. it can be all of  $K_0$ ). So denote  $\mathcal{C}(R) = K^+(R)$ , and we often see written the pair  $(K_0(R), K^+(R))$ , which of course has exactly the data of  $\mathcal{C}(R)$ .

Everything is functorial: Given rings  $R_1$  and  $R_2$  and a unital map  $\phi : R_1 \rightarrow R_2$ , we have  $\mathcal{S}(\phi) : \Xi_{R_1} \mapsto \Xi_{R_1} \otimes_{R_1 R_1} (R_2)_{R_2}$ , where we view  $R_2$  as a left- $R_1$ -module using  $\phi$ . This extends to  $K_0$ . Given a short exact sequence

$$0 \rightarrow J \rightarrow R \rightarrow R/J \rightarrow 0$$

we want a long exact sequence in  $K_*$ . We need to define  $K_0(J)$ . First we form  $\tilde{J}$  by adjoining a unit. Then we have a homomorphism  $\tilde{J} \rightarrow \mathbb{Z}$  (it's really better if everything is with algebras over a field  $k$ ; certainly this works in that case, but probably works if  $k = \mathbb{Z}$ ). Then we have  $K_0(\tilde{J}) \rightarrow K_0(\mathbb{Z})$ , and we define  $K_0(J) = \ker(K_0(\tilde{J}) \rightarrow K_0(\mathbb{Z}))$ . E.g. if  $J = C_\infty(X)$ , then  $\tilde{J} = C(\tilde{X})$ , where  $\tilde{X}$  is the

one-point compactification. Anyway, then we get

$$K_0(J) \rightarrow K_0(R) \rightarrow K_0(R/J)$$

but to extend that takes more work. This is an interesting direction, but not one we will pursue.