

**\*\*This document was last updated on May 5, 2008. A more recent version may be available as part of <http://math.berkeley.edu/~theo/f/CstarAlgebras.pdf>.\*\***

## 1: May 5, 2008

### 1.1 Some $K$ theory

It's been asked that we define  $K_1$ .

When  $\phi : A \rightarrow B$ , we get a map  $K_0(A) \xrightarrow{\phi} K_0(B)$ , because if  $[\Xi_1] - [\Xi_2] \in \ker(\phi)$ , then  $[\phi(\Xi_1)] \sim [\phi(\Xi_2)]$  in  $K_0(B)$ .

On the other hand, given an isomorphism  $\phi(\Xi_1) \cong \phi(\Xi_2)$  over  $B$ , one can ask whether we can lift this to an isomorphism over  $A$  between  $\Xi_1$  and  $\Xi_2$ . What this comes down to is whether given an invertible element  $S$  of  $M_n(B)$ , is there an invertible element  $T$  of  $M_n(A)$  so that  $\phi(T) = S$ . I.e. "can you lift invertible elements?" We're asking to what extent the map  $GL_n(A) \xrightarrow{\phi} B$  is onto. More or less, vaguely,  $K_1$  measures the invertible elements that cannot be lifted. This is a very vague statement.

Let's make it more precise. We look for universally liftable elements of  $GL_n(A)$  (which was the  $B$  up above). We want  $\phi : A \rightarrow B$  to be onto, and for the moment these are unital algebras without topology. Let's give some examples:

$$\begin{pmatrix} 1 & & 0 & & \\ & 1 & & r_{ij} & \\ & & \ddots & & \\ & & & & 1 \end{pmatrix}$$

These clearly can all be lifted, since  $A \rightarrow B$  is onto, and is invertible for any single value  $r_{ij}$ . Call the (normal) subgroup generated by such things  $El_n(A)$ : then

$$GL_n(A)/El_n(A) \rightarrow GL_{n+1}(A)/El_{n+1}(A) \rightarrow \cdots \rightarrow \text{limit} = GL_\infty(A)/El_\infty(A)$$

under

$$T \mapsto \begin{pmatrix} T & \\ & 1 \end{pmatrix}$$

and

$$GL_\infty(A) = \begin{pmatrix} \boxed{\text{invertible}} & & & & \\ & 1 & & & \\ & & 1 & & \\ & & & \ddots & \end{pmatrix}$$

and we can look at the image of  $El_n(A)$  in  $GL_{2n}(A)$ , which sits in  $[GL_{2n}(A), GL_{2n}(A)] \subseteq El_{4n}(A)$ . Then we define

$$K_1^{\text{alg}}(A) \stackrel{\text{def}}{=} GL_\infty(A)/[GL_\infty(A), GL_\infty(A)]$$

The denominator is the commutator subgroup, so this is abelian.

There is no good algebraic definition of  $K_1$  for non-unital algebras. One way to do it is to use an ideal  $J \leq A$ , and then writing down the sequence

$$K_1^{\text{alg}}(J, A) \rightarrow K_1^{\text{alg}}(A) \rightarrow K_1^{\text{alg}}(J)$$

but it becomes even harder to get  $K_2$ , etc., indeed someone won a Fields Medal for such stuff.

For unital Banach algebras, again we look for universally liftable elements of  $M_n(A)$ . If  $T \in M_n(A)$  with an appropriate Banach norm on  $M_n(A)$ , and if  $\|T - \mathbb{1}\| < 1$ , then we can use holomorphic functional calculus to define  $\log(T) = S$ . Then  $T = e^S$ , and any  $e^S$  is liftable, because  $S$  is just some matrix and we have a Banach homomorphism that's onto. So everything close to  $\mathbb{1}$  is universally liftable; this is an open neighborhood of  $\mathbb{1}$  in the group of invertible elements. And the point is that the connected component of  $\mathbb{1}$  in  $GL_n(A)$  is algebraically generated by any open neighborhood of the identity. Thus everything in  $GL_n^0(A)$  is universally liftable. So in this context we define the topological  $K_1$  by the sequence of discrete groups:

$$GL_n(A)/GL_n^0(A) \rightarrow GL_{n+1}(A)/GL_{n+1}^0(A) \rightarrow \cdots \rightarrow GL_\infty(A)/GL_\infty^0(A)$$

and, of course,  $[GL_\infty, GL_\infty] \subseteq GL_\infty^0$ . What happens is that we're deviding out by more:  $K_1^{\text{alg}} \twoheadrightarrow K_1^{\text{top}}$ . And

$$K_1(\text{non-unital } A) = \ker \left( K_1(\tilde{A}) \rightarrow K_1(\text{field}) \right)$$

Then we have the famous

**Bott periodicity theorem:** If we are over  $\mathbb{C}$ , then  $K_2(A) \cong K_0(A)$ .

So we don't have to worry about  $K_2$  and higher. The surprise is that the following six-term sequence is exact everywhere:

$$\begin{array}{ccccc}
 & & J & & A & & A/J \\
 & & & & & & \\
 K_1 & & \bullet & \longrightarrow & \bullet & \longrightarrow & \bullet \\
 & & \uparrow & & \downarrow & & \\
 K_0 & & \bullet & \longleftarrow & \bullet & \longleftarrow & \bullet
 \end{array}$$

Over  $\mathbb{R}$ , the iso is  $K_8(A) \cong K_0(A)$ , because you get tied up in quaternions and Clifford algebras.

Good questions: if  $G$  is discrete and we take  $C^*(G)$  or  $C_r^*(G)$ , what are the  $K$ -groups of these? By now there is a large literature using non-commutative geometry in intense ways, e.g. Dirac

operators, to answer those questions at least for large classes of groups in a way that you could imagine you might be able to actually compute these. Part of the difficulty is figuring out what all the projective modules over these, e.g.  $\mathbb{Z}^1 0$  no one has in an effective way shown how to list all of the projective modules over the commutative 10-torus.

## 1.2 Return to tori and projective modules

For  $\widehat{\mathbb{Z}^2} = T^2$ , we have a commutative  $C^*$ -algebra  $A = C(T^2)$ , where  $T^2 = \mathbb{R}^2/\mathbb{Z}^2$ . Then we skip the proofs, and have:

$$\Xi(q, a) \stackrel{\text{def}}{=} \{ \xi \in C(\mathbb{R}^2 \rightarrow \mathbb{C}) : \xi(s+q, t) = \xi(s, t), \xi(s, t+1) = e^{2\pi i a s} \xi(s, t) \}$$

**Theorem:** Every projective module over  $C(T^2)$  is a free module or isomorphic to a  $\Xi(q, a)$ . And when  $a \geq 0$ , the  $\Xi(q, a)$  are all isomorphic.

This does not give a particularly good clue how to deal with non-commutative tori. We have  $\mathbb{Z}^d$  and a matrix  $\theta \in M_d(\mathbb{R})$ , and we form  $A_\theta$  as before in terms of the bicharacter  $c_\theta$ .

In any case,  $\mathbb{Z}^d$  fits inside  $A_\theta$ , not comfortably as a subgroup, because of the twisting, but as a subgroup. And precisely this means that a projective module will give a “ $c_\theta$ -projective representation of  $\mathbb{Z}^d$ ”, although we don’t have a Hilbert space. (This is a way of thinking of this stuff in hindsight.) We can look for  $c_\theta$ -projective representations, and there aren’t a lot of ways to construct these:

Let  $M$  be a locally compact Abelian group, and  $\hat{M}$  its dual group. Let  $G = M \times \hat{M}$ ; then on  $L^2(M)$  we have

$$(\pi_{(x,s)}\xi)(y) \stackrel{\text{def}}{=} \langle y, s \rangle \xi(y-x)$$

the “Schrodinger representation.” Then  $\pi$  is a projective representation of  $G$  on this Hilbert space, with bicharacter  $\beta$  (easily enough computed).

Strategy:

- Find embeddings of  $\mathbb{Z}^d$  into  $M \times \hat{M}$  such that  $\beta|_{\mathbb{Z}^d} = c_\theta$ .
- If  $\mathbb{Z}^d$  is a lattice in  $M \times \hat{M}$ , restrict attention to  $C_c(M)$ ; then this leads to a projective module.

The difficulty: this gives zillions of projective modules, and it’s hard to figure out when two such things are isomorphic.