

TMF and SQFT: questions and conjectures

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Background: SQFT[•]

The spectrum TMF^{\bullet} of **Topological Modular Forms**, as defined by **Lurie, Hopkins–Miller, Goerss, . . .**, is an object of derived number theory: the global sections of the derived structure sheaf of the derived stack of derived elliptic curves. To work with TMF involves tracking Galois actions, descent data, spectral sequences,

Proposal [Segal, Stolz–Teichner]: TMF^{\bullet} has an analytic model given by:

$$\mathrm{TMF}^{\bullet} \simeq \mathrm{SQFT}^{\bullet} := \{\text{compact 2D } \mathcal{N}=(0,1) \text{ SQFTs of degree } \bullet\}$$

Motivation: K^{\bullet} can be defined derived-algebraically, but can also be modelled analytically in terms of super Hilbert spaces. There are many closely related models, one of which is:

$$\mathrm{K}^{\bullet} \simeq \{\text{compact } \mathcal{N}=1 \text{ SQM models of degree } \bullet\}$$

Background: SQFT[•]

SQFT[•] = {compact 2D $\mathcal{N}=(0,1)$ SQFTs of degree \bullet }

SQFT = supersymmetric quantum field theory = (unitary, Poincaré-invariant, etc.) QFT equipped with some susy. The **minimal** nonzero susy in 2D is $\mathcal{N} = (0,1)$: there is one susy, which transforms as a right-handed chiral spinor under $\text{Spin}(2)$.

The topology on {(S)QFTs} should be one in which two SQFTs are close if they have the same low-energy (“effective”) behaviour.

Example [Henriques]: For (S)QM models, can use the topology of **strong convergence of the resolvent (of \hat{H})**.

Any (Spin, say) n D QFT can have a **gravitational anomaly** valued in $\mathbb{I}\Omega_{\text{Spin}}^{n+2}$. When $n=2$, the iso class of the anomaly is the **degree** $2(c_L - c_R) \in \mathbb{I}\Omega_{\text{Spin}}^4(\text{pt}) \cong \mathbb{Z}$. More precisely, we must give an isomorphism between the anomaly of our SQFT and a reference anomaly in order to resolve some sign ambiguities.

Background: SQFT[•]

SQFT[•] = {compact 2D $\mathcal{N}=(0,1)$ SQFTs of degree \bullet }

Definition [Segal]: A (unitary) QFT is **compact** if its Wick-rotated partition function converges absolutely on all closed spacetimes. For QM: $\exp(-\tau\hat{H})$ should be trace-class for all $\tau > 0$.

Examples: Sigma models with compact target. Massive boson (aka harmonic oscillator). **Nonexample:** Massless boson.

Lemma: SQFT⁴ⁿ is not contractible. **Proof:** The (appropriately normalized) partition function on tori with nonbounding spin structure provides a nontrivial locally-constant map SQFT⁴ⁿ \rightarrow {weakly holomorphic modular forms of weight $-2n$ }.

Conjecture [Seiberg]: For any fixed anomaly, dimension, and susy, {(possibly) noncompact QFTs} is contractible.

RG flow

Spaces of (S)QFTs come with a canonical one-parameter **renormalization group (RG) flow** which rescales the metric on spacetime. RG fixed points = **(super)conformal** field theories.

Zamolodchikov: In 2D, RG flow is Morse flow for $C = \frac{1}{2}(c_L + c_R) \geq 0$. **Expect:** C is Morse–Bott. (C is not Morse.)

Question: Does (downward) RG flow converge in {compact 2D (S)QFTs}? In other words, if a 2D QFT is compact, is its deep IR limit again compact?

If so, then SQFT^\bullet can be studied Morse-theoretically in terms of “zig-zags along RG flow lines” between compact SCFTs.

Question: Develop a model of TMF^\bullet built out of SCFTs.

Question: SQFT^\bullet isn't really a space — it is a stack. Develop Morse theory on stacks.

Spectrum structure

Basepoint $:= 0 \in \text{SQFT}^\bullet$

The basepoint for SQFT^\bullet is the **Zero** TQFT: for nonempty inputs, partition function $\equiv 0$, Hilbert space $\equiv \mathbb{C}^0$, etc.

An SQFT **has spontaneous susy breaking** if the operator 1 is a superdescendant (=is susy-exact). **Expect:** Spont. susy breaking iff deep IR limit is Zero. (This should be an example of susy localization. It is also a condition on the topology on SQFT^\bullet .)

Example: $\text{Fer}(1) :=$ a single chiral massless fermion λ . Choose the $\mathcal{N}=(0,1)$ susy generated by the supercurrent $:\lambda:$. At length scale L , this flows to $\text{Fer}(1)$ with susy $L^{1/2}:\lambda:$. Renormalize λ . In the $L \rightarrow \infty$ limit, λ renormalizes to 0, and $\text{Fer}(1) \rightarrow \text{Zero}$.

Question: In some models, “Zero” is not a valid QFT. Is $\{\text{SQFTs with spontaneous susy breaking}\}$ in any case contractible?

Spectrum structure

$$\Omega\text{SQFT}^\bullet \simeq \text{SQFT}^{\bullet-1}$$

Let $\mathbb{R} \rightarrow \text{SQFT}^n$, $x \mapsto \mathcal{F}_x$ be a smooth family of SQFTs. Can **dynamicalize** the parameter x by promoting it to a scalar multiplet: a boson \hat{x} and its susy partner ψ , an antichiral fermion. “ $\int_x \mathcal{F}_x$ ”

Example: $\text{Fer}(1)_x := (\text{Fer}(1) \text{ with susy } x:\lambda)$. Then $\int_x \text{Fer}(1)_x =$ (chiral fermion λ , full boson \hat{x} , antichiral fermion ψ , with $\mathcal{L} = \|\hat{x}\|^2 + \lambda\partial\lambda + \psi\partial\psi$). Theory is **massive**: the deep IR is the **One** tqft with partition function $\equiv 1$, $\mathcal{H} \equiv \mathbb{C}^1$, etc.

$$\otimes \text{Fer}(1)_x : \text{SQFT}^{\bullet-1} \Leftrightarrow \Omega\text{SQFT}^\bullet : \int_x$$

Question: Suppose \mathcal{F}_x is compact for every x and that $\mathcal{F}_x \rightarrow \text{Zero}$ as $x \rightarrow \pm\infty$. How quickly must this converge for $\int_x \mathcal{F}_x$ to be compact?

Engineering specific Witten genera

Powers of Δ

The **Witten genus** $\text{Wit} : \text{SQFT}^\bullet \rightarrow \{\text{modular forms}\}$ is

$$\text{Tr}_{\text{R-sector}} \left((-1)^F q^{L_0 - c_L/24} \right) \times \eta(\tau)^{2(c_R - c_L)},$$

up to a convention-dependent $\sqrt[8]{1}$. Recall (**Dedekind**):

$$\eta(\tau) = q^{1/24} \prod_{n=1}^{\infty} (1 - q^n), \quad \Delta(\tau) = \eta(\tau)^{24}.$$

The **Witten genus** $\text{Wit} : \text{TMF}^\bullet \rightarrow \{\text{modular forms}\}$ is the edge map for the elliptic s.s. $H^\bullet(\mathcal{M}_{\text{ell}}, \pi_\bullet \mathcal{O}^{\text{der}}) \Rightarrow \pi_\bullet \Gamma(\mathcal{M}_{\text{ell}}; \mathcal{O}^{\text{der}})$. Its image was calculated by **Hopkins**.

Example: If the $\mathcal{N}=(0,1)$ susy in \mathcal{F} enhances to $(1,1)$, then automatically $\text{Wit}(\mathcal{F}) \in \mathbb{Z}[\Delta]$. Coefficient counts Ramond-sector ground states. **Question:** Can every class in $\text{Wit}(\text{TMF}) \cap \mathbb{Z}[\Delta]$ be represented by an $\mathcal{N}=(1,1)$ SQFT?

Engineering specific Witten genera

Powers of Δ

Calculation [Hopkins]: $k\Delta^m \in \text{Wit}(\text{TMF})$ iff $km \in 24\mathbb{Z}$. So minimum nonzero k is $24/\text{gcd}(24, m)$.

Example: Duncan's "supermoonshine" holomorphic $\mathcal{N}=1$ SCFT $V^{f\mathfrak{h}}$ realizes $24\Delta^{-1}$. Antiholomorphic $\bar{V}^{f\mathfrak{h}}$ realizes 24Δ .

Thus $(\bar{V}^{f\mathfrak{h}})^m$ realizes $24^m\Delta^m$. Let $k(m) \in \mathbb{Z}$ so that permutation orbifold $(\bar{V}^{f\mathfrak{h}})^m // S_m$ realizes $k(m)\Delta^m$.

Calculation [Gaiotto]: $\text{gcd}(k(m), 24^m) = 24/\text{gcd}(24, m)$.

Cor: The minimum expected values can be realized by systems with massively ($\sim 24^m$) many vacua and a massive cancellation.

Question: Realize $\frac{24}{\text{gcd}(24, m)}\Delta^m$ by an antiholomorphic SCFT with only one vacuum.

Engineering specific Witten genera

Theta series

Let L be an even unimodular lattice. Then the theta function $\Theta_L = \sum_{\ell \in L} q^\ell$ is in the image of $\text{Wit} : \text{TMF}^\bullet \rightarrow \{\text{modular forms}\}$. For example, $L = E_8$ lattice $\rightsquigarrow \Theta_L = \text{weight-4 Eisenstein series}$.

Question: Realize Θ_L .

Nonsolution: Purely holomorphic lattice VOA V_L has $\mathcal{N}=(0,1)$ susy because its right-moving sector is trivial. But this realizes $\Theta_L/\eta^{2\text{rank}(L)}$. To get Θ_L , we need $c_R - c_L = \text{rank}(L)/2$.

The $\mathcal{N}=(0,1)$ sigma model with target the torus $\text{hom}(L, U(1))$ has correct $c_R - c_L$, but it has fermion zero-modes which make the Witten genus vanish. Maybe some clever orbifold procedure will kill the zero-modes?

Equivariant SQFT

A G -equivariant QFT is sometimes said to have G -flavour symmetry (to distinguish from gauge symmetry redundancy).

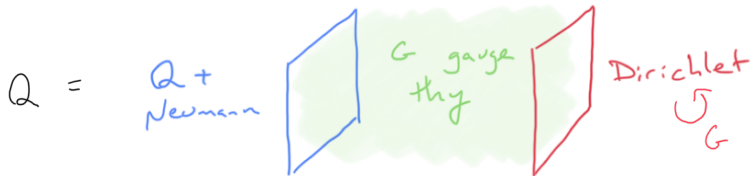
Expect: For any anomaly $\omega \in \Omega_{\text{Spin}}^4(\text{BG}) \ominus \Omega_{\text{Spin}}^4(\text{pt})$,

$$\begin{aligned} \text{SQFT}_G^\omega &:= \{\text{SQFTs with } G\text{-flavour symmetry and anomaly } \omega\} \\ &\simeq \text{TMF}_G^\omega := \omega\text{-twisted } G\text{-equivariant TMF}. \end{aligned}$$

Remark: A standard way to study $Q \in \text{SQFT}_G^\omega$ is to study the “ Q +Neumann” boundary condition for 3D G -gauge theory.

(Anomaly inflow mechanism: $\omega =$ gauge theory Lagrangian.)

Can recover Q with its G -action by forming a sandwich:



Equivariant SQFT[•]

Categorical symmetry

QFTs can also have **noninvertible** aka **categorical** symmetries. For 2D SQFTs, the most general type of **finite** categorical symmetry is described by a super modular tensor category \mathcal{C} : a **\mathcal{C} -equivariant SQFT** is a supersymmetric boundary condition for the 3D (**Reshetikhin–Turaev** type) Spin TQFT determined by \mathcal{C} .

Conjecture: There is a meaningful notion of **\mathcal{C} -equivariant TMF** $\mathrm{TMF}_{\mathcal{C}}^{\bullet}$ for any super MTC \mathcal{C} . The assignment $\mathcal{C} \mapsto \mathrm{TMF}_{\mathcal{C}}$ is functorial for super Witt equivalences (=topological interfaces of 3D TQFTs). **E.g.:** $\mathrm{TMF}_G^{\omega+\bullet}$ depends only on $\mathcal{C} = \mathcal{Z}(\mathrm{sVec}^{\omega}[G])$.

Theorem [Henriques–Morrison]: $\mathrm{TMF}_{\mathcal{C}}^{\bullet} \otimes \mathbb{Q}$ does meaningfully exist (at least for \mathcal{C} bosonic). Hard part: the Galois action.

Equivariant SQFT[•]

Flavoured-compactness

A 2D SQFT with G -flavour symmetry can be placed on any worldsheet Σ equipped with a G -bundle. The strength of the G -bundle is called the **fugacity** of the flavour symmetry.

Definition: An SQFT is **G -flavoured-compact** if its Wick-rotated partition function converges absolutely whenever the fugacity is nonzero, but it is allowed to diverge at fugacity 0.

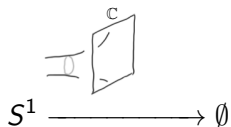
Example: Take the sigma model with target $\mathbb{C} = \mathbb{R}^2$ and $G = U(1) = SO(2)$ acting in the canonical way. At nonzero fugacity, if the string tries to wander away from $0 \in \mathbb{C}$, it will get stretched, which costs energy. So the theory is flavoured-compact.

Equivariant SQFT[•]

Flavoured-compactness

Question: Define and analyze flavoured-compact bordism spectra and flavoured-compact TMF. Interpret:

- ▶ level- N TMF as \mathbb{Z}/N -flavoured-compact TMF.
- ▶ Jacobi forms with a pole at $z = 0$ as $U(1)$ -flavoured-compact modular forms.
- ▶ trumpet geometries as flavoured-compact-nullbordisms. E.g.:



- ▶ formulas relating meromorphic Jacobi and mock-modular forms (e.g. polar decomposition) in terms of adding/removing trumpets.

Like for ordinary modular forms, it makes sense to ask for topological modular forms whose growth at the cusp $\tau \rightarrow i\infty$ is no worse than q^D . $Tmf^\bullet :=$ holomorphic at the cusp ($D = 0$); $Tcf^\bullet :=$ topological cusp forms = vanish at the cusp ($D = 1$).

This roughly translates to the request that, in the Ramond sector, the spectrum of L_0 be bounded below by $D + \frac{c_L}{8} - \frac{c_R}{12}$.

Question: What is the physical meaning of this request?

Unlike for ordinary modular forms, there are holomorphic topological modular forms of negative weight.

Example: $\pi_{-21} Tmf \cong \mathbb{Z}$ generated by $\Delta^{-1}\nu$. $\pi_{-21} Tmf \cong 0$.

Theorem [Stojanoska]: $I_{\mathbb{Z}} Tmf^\bullet \cong \Sigma^{21} Tmf^\bullet$.

Question: Physically describe these negative-weight Tmf classes.

For TMF_G^\bullet , space of cusps \approx adjoint quotient $\frac{G}{G} = \mathrm{L}(BG)$.

Moonshine is about G -equivariant modular objects which grow as q^D near the cusp $e \in \frac{G}{G}$, and as q^{D+1} near all other cusps.

E.g.: \mathbb{Z}/N -modular \approx modular for $\Gamma_0(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \mid c \equiv 0 \pmod{N} \right\}$.
 If $f(\tau)$ is $\Gamma_0(N)$ -modular of weight=0 and grows as q^{-1} near e and as q^0 near the other cusps, then f is a **hauptmodul**: an iso
 (upper half plane)/ $\Gamma_0(N) \xrightarrow{\sim} \mathbb{P}^1$.

Question: Define and study a version of Tmf_G^\bullet with this type of mixed cuspidal behaviour.

Question: **Monstrous moonshine** involves hauptmoduln for subgps of $\mathrm{SL}_2(\mathbb{R})$ not contained in $\mathrm{SL}_2(\mathbb{Z})$. Do these make sense in TMF ?