

# The CS-WZW Correspondence

Theo Johnson-Freyd, 30 Oct 2014,  
Northwestern CFT Seminar

①

Phil asked me to describe the correspondence between Wess-Zumino-(Novikov-)Witten theory and Chern-Simons(-Witten) theory, which is supposedly the best (only?) understood example of "AdS-CFT" correspondence, and is also supposed to be a warm-up for the mysterious maximally supersymmetric gauge theory in 6 dimensions. I won't have anything valuable to say about these generalizations, but I will try to get to WZW-CS in the second half of the talk, after the break.

To set the stage, though, I'd like to spend the first 45 minutes or so waxing philosophical about algebraic structures you really should expect to find in quantum field theory. Most of what I say will be based on work by Dan Freed and the people around him. Perhaps 2 will be due to me.

## I. Heisenberg-picture qft.

If you think back to your first course in quantum mechanics, you set up quantum mechanical systems in what's called the "Schrodinger picture": a system consisted

(2)

of a "Hilbert space"  $\mathcal{H}$  of "states" and a family of unitary "time evolution" operators satisfying a ~~group~~ group law. Let me emphasize that this is the data of the system, and not the data of the questions you wanted to ask about it — just like if I tell you the axioms and data of a group, I haven't told you if I want to know, say, more's about its representation theory, or how lengths of words depend on a set of generators, or anything like that.

But if you paid careful attention, you learned that the "Schrödinger picture", although interesting mathematically, is not really "physical". Namely, the space of states is  $\mathcal{H}$  but its projectivization  $P\mathcal{H}$ . The Schrödinger picture suggests the Atiyah axioms:

Atiyah

$$\text{Spaces} \longrightarrow \text{Hilb}$$

Perhaps we should try

$$\text{Spaces} \longrightarrow P(\text{Hilb})$$

$$\text{Spaces} \longrightarrow \mathbb{C} \rtimes P\mathcal{U}(\dots) ?$$

This still isn't quite right. For a single system modeled by  $\mathcal{H}$ , its physical space of states is  $P\mathcal{H}$ . But for combining systems, we want to end up with

$$P(\mathcal{H}_1 \oplus \mathcal{H}_2), \text{ not } P\mathcal{H}_1 \times P\mathcal{H}_2.$$

Hilbert spaces example

(3)

and

 $B(H \otimes H_2)$ , not ... ~~etc~~ ???

So, how to get the right answer?

Think to your algebraic geometry class: there was some connection between projective bundles and Azumaya algebras and Brauer groups. How it goes is:

$\boxed{End(H) \text{ encodes } B\mathcal{H}, \text{ not } \mathcal{H}.}$

By  $End(H)$  I mean the algebra of matrices, so " $B(H)$ " for Hilbert spaces.

So we might try:

Schrödinger picture

$$\hat{A} \xrightarrow{\text{def}} \mathcal{H}^*$$

$$M^2 \xrightarrow{\text{def}} \mathbb{Z}(M^2): \mathcal{H}^{\otimes 2m,n} \xrightarrow{\sim} \mathcal{H}^{\otimes m,n}$$

$$\cup \mapsto \otimes$$

Heisenberg picture

$$N \xrightarrow{\text{def}} A \text{ e.g. } End(\mathcal{B}).$$

$$M^2 \mapsto ??$$

$$\cup \mapsto \otimes$$

What sort of maps? In the textbook QM example,  $M^2 = \{0, i\}$  and  $\mathbb{Z}(M^2) = \exp\left(\frac{i}{\hbar}\hat{H}t\right) = U_t$ , and on the Heisenberg side you put the algebra automorphism "conjugate by  $U_t$ ". But in higher dimensions,  $\mathbb{Z}(M^2)$  typically is not an  $SO$ .

A good guess is to ~~put in  $H$  module~~ use bimodules. Any homomorphism  $f: A \rightarrow B$  gives a "modulafun"  $A \xrightarrow{f} B \otimes B$ , ~~etc~~ but the modulafun of any conjugation morphism

(4)

3. Banach trivial. To break this, you should use pointed bimodules.

E.g.  $A \otimes B$  is pointed by  $1 \otimes B$ .

Then in general given a linear map

$V \xrightarrow{f} W$ , you get a pointed bimodule  $\text{End}(V, W) \xrightarrow{\text{End}(V, f)} \text{End}(W)$ .

And sure enough for  $f$  an iso this is the module of "conjugate by  $f$ ".

Defn: An (non-extended, affine) Heisenberg-picture gift is a symmetric monoidal functor  $\mathcal{G}$

SPACETIMES  $\rightarrow \text{Alg}_1 = \left\{ \begin{array}{l} \text{algebras} \\ \text{pointed bimodules} \\ \text{pointed intertwiners} \end{array} \right\}$

Two obvious generalizations:

- do everything derived, i.e.

$\text{Alg}_1(\text{DGVect})$  in place of  $\text{Alg}_1(\text{Vect})$ .

- do it "K-extended", i.e.

$$\text{Alg}_K = \left\{ \begin{array}{l} \text{E}_K\text{-algebras} \\ (\text{K}-1)\text{-algs} \\ \vdots \\ \text{E}_0\text{-algs} \end{array} \right\}$$

The (Schenkauer)  $\text{Alg}_K(S)$  is a sym  $\otimes$   $(\infty, K)$ -cat for  $S$  satisfying mild conditions in  $(\infty, 1)$ -category

There's one further generalization I want to suggest. See, most things in nature are

(5)

not affine — the natural map ~~is~~  $X \rightarrow \text{Spec}(\mathcal{O}(x))$  is not an  $\mathbb{R}$ -stack. E.g. for  $x = B\mathbb{G}_m$ ,  $\mathcal{O}(x) = \mathbb{C}$  (even the derived space of global sections is just  $\mathbb{C}$ ). On the other hand, most stacks are 2-affine in the following sense: there is a good notion of " $\text{Spec } \mathcal{O}(\mathcal{C})$ " for any sym  $\infty$ -pres. cat.  $\mathcal{C}$ , and it is a stack in the fppf (or do I mean fpqc? I don't remember) topology, and you can ask if  $X \rightarrow \text{Spec}(\mathcal{O}(\text{Coh}(x)))$  is an  $\mathbb{R}$ -stack. (It isn't always. Underlyingly, it fails if  $X$  has a closed pt w/ non-affine stabilizer.)

Symmetric monoidality is the structure enjoyed by modules for a commutative ring. For just an associative  $A$ ,  $\text{Mod}_A$  is barely better than a category: it is pointed by  $A_A$ .

Defn: The 2-category of 2-affine (but not nec. 1-affine) quadratic stacks over  $\mathbb{K}$  is  $\text{Alg}_0(\text{Pres}_{\mathbb{K}})$ , with:

- Obj. = pointed ~~to pres~~  $\mathbb{K}$ -ln. cats.

- Mor =  $(F, f): (\mathcal{C}, c) \rightarrow (\mathcal{D}, d)$

- s.t.  $F: \mathcal{C} \rightarrow \mathcal{D}$  is a  $\mathbb{K}$ -ln. pres functor and  $f: d \rightarrow F(c)$ .

- 2-mor = intertwiners of these.

(6)

A 2-affine generator stack  $(\mathcal{C})$  is 1-affine iff  
 $\mathcal{C}$  is a compact proj. generator of  $\mathcal{C}$ ,  
 as then  $\mathcal{C} = \text{Mod}_{E\text{-d}(\mathcal{C})}$ .

E.g.:  $(\text{Vect}, V) \simeq (\text{Mod}_{E\text{-d}(V)}, E\text{-d}(V))$   
~~if~~  $\dim V < \infty$  and  $V \neq 0$ .

There's also a more topological version  
 where "presentable over  $\mathcal{C}$ " gets replaced by  
 a version of "presentability" for categories  
 enriched over  $\text{Hilb}$ . Then  $(\text{Hilb}, \mathcal{T}) \simeq (\text{Mod}_{B(\mathcal{S})},$   
 where the RHS is in the sense of Von Neumann  
 algebras.

Defn: A (non-excluded, 2-affine) Heisenberg  
picture QFT  $\mathcal{S}$  is a sym  $\otimes$  functor  
 $\text{SPACETIMES} \rightarrow \text{Alg}_0(\text{Pres}_{\mathbb{K}})$ .

There's also extensions:

The  $(-, \text{Schembauer})$ : if  $S$  is  $(\infty, k)$ , then  
 $\text{Alg}_n(S)$  is  $(\infty, k+n)$ .

Defn: A  $k$ -affine  $(n+k)$ -excluded Heisenberg  
 picture QFT  $\mathcal{S}$  is a functor into  $\text{Alg}_n(S)$   
 where  $S$  is an  $(\infty, k)$ -cat that looks  
 like Vect at the top; and  
Spacetimes should be an  $(n+k-1)$ -cat.

(7)

Warning:  $\mathbf{1}$  is the only (weak)-dualizable object of  $\text{Alg}_n$  if  $S \neq (\infty, K)$ .

By taking "Mod", you can always see  $K$ -affine rings as  $(K+1)$ -affine. What Freed calls "relative" is when  $n=0$  and  $K = \# \text{ levels of spacetime}$ .

Let me now give some topological examples. Actually, first a non-example:

~~The (non-duality) Brundage~~

The (Brundage-Chirvasitu - JF) If

$X$  is a scheme containing a closed proj. subscheme of positive dimension or if  $X = BG$  for  $G$  an algebraic group without enough projective modules then  $\text{Qcoh}(X)$  is not 1-dualizable in  $\text{RVec}_K$ .

So

So to capture these "classical 1-2 TAFs" you need derived categories (for  $D^b\text{Qcoh}$ , you do get duality by Ben-Zvi - Francis - Nadler).

OK, an example.

The (JF): Suppose  $C \in \text{Alg}_2(\text{PreK})$   
has:

(8)

(i) unit  $\beta$  compact proj.

(ii) every object is a colimit of dualizable objects.

then  $\mathcal{C}$  is 3-dualizable. The resulting

Theory is 3D Chern-Simons Theory for  $\mathcal{C}$ .

E.g.:  $\mathcal{C} = \text{Topological Lieb}$ . more generally  
Rep (quantum group).

Oh, I almost forgot. Let's look at  $\text{Alg}_0(S)$ .

There is a "forget" map to  $S$ . It often happens that  $x \in \text{Alg}_0(S)$  is invertible, but  $\text{forget}(x) \in S$  is invertible.

~~Example: If you take  $S = \text{Mat}(F_{\infty})$ ,  
you will end up to do this honestly at~~

Example (originally due to Walker): when you forget from  $\text{Alg}_2(\text{Rep}_K)$ , you should end up in a 4-cat with

- braided  $\otimes$  cats.
- $\otimes$  cats.
- cats, unpointed
- functors.
- natural transformations.

I don't know that this 4-cat has been written down, although the corresponding 3-cat w/  
 $\text{Rep}_{IK} \rightsquigarrow \text{"finite abelian cat}_{IK}$ " exists  
in Douglas - Schomerus - Pries - Snyder.

(9)

In any case, MTCs are the invertible elements here.

Let's see in top dimensions what happens when that "forget" part is invertible.

Notation: "Forget" of a gft is its "anomaly".

So:

top dim: • an invertible vector space is a line.

- the "partition function" is a section thereof. E.g.: Conformal field theory.

(top-1): • an invertible cat is the modules for an Azumaya algebra. Up to equiv, there's the Brauer group worth of these.

- The "Hilbert space" is a module thereof. (In "super land" this is the source of Clifford algebras etc.)

E.g.: In Conformal field theory,  $Z(\Sigma)^{\text{closed}}$  is not a number, but rather a section of the line over  $M$  curves of sections of the trivial line ~~over~~ given by:

{surfaces w/ metric} — take trivial line here



$M = \{\text{conformal curves}\}$  — over  $\Sigma \in M$ , take sections of the line ~~over~~ upstairs ~~not~~ downstairs by  $\exp(c \cdot \text{Lor}(\text{trace}))$

(10)

it often happens that the anomaly is topological even when the gft isn't. (I wish I had a general explanation for this, but I don't). If so, then the anomaly itself is a nup.

Bord  $\longrightarrow$  some sort of categorified group

and so factors through the quotient of Bord in which everyone is forced to be invertible. But that ~~is~~ which it looks like

$$\begin{aligned} \mathbb{Z} : & \cancel{\text{---}} \text{ in dimension } \equiv 0 \pmod{4} \\ & 0 \text{ in all other dimensions.} \end{aligned}$$

In dim 4, this is the signature. So this is why the signature shows up all over the place.

[Break here if I haven't already.]

## II. Specifies on CS and WZW

Before the break I briefly mentioned Chien-Simons theory, which is a 2-affine, but ~~not~~ generally not 1-affine, Heisenberg

picture of  $\mathfrak{ft}$  depending on a braided  $\otimes$  cat  $\mathcal{C}$ . Actually, it is an oriented theory. This is more data than being a framed theory: you have to declare how the theory doesn't depend on the orientation. In this case that data is precisely a ribbon structure.

Aren't defined these last time: a natural  $\beta_0$

$$\text{“} \begin{array}{c} \text{Y} \\ \text{—} \end{array} \text{” s.t. } \begin{array}{c} \text{Y} \\ \text{—} \end{array} = \begin{array}{c} \text{Y} \\ \text{—} \end{array} \text{ and } \begin{array}{c} \text{Y} \\ \text{—} \end{array} = \begin{array}{c} \text{Y} \\ \text{—} \end{array} .$$

Anyway, in dimensions  $\leq 2$ , this  $\mathfrak{ft}$  is "just" topological chiral homology with coeffs in  $\mathcal{C}$ .

In dimension 3, it can be presented in terms of "relative skein modules".

I wish I could describe also  $\mathfrak{WZM}$  at this level of generality, but I can't. Here's the guideline that I'd like to explain:

$$\begin{array}{ccc} \text{Bord}_{0,1,2}^{\text{or}} & \xrightarrow{\text{CS}} & \text{Alg}_2(\text{Pres}_K) \\ \uparrow & & \uparrow \mathfrak{WZM}^X \\ \text{Bord}_{0,1}^{\text{or}} & & \\ \uparrow & & \\ \text{Bord}_{0,1}^{\text{conf}} & \xrightarrow{\text{II}} & \end{array}$$

The natural transformation is "oplex", meaning that naturality is only imposed by a morphism,

(12)

$\chi_B$  for Chiral

not an  $\mathcal{B}\mathcal{O}$ . (Ex: alg. objects in a  $\otimes$  cat & are op $\otimes$  transfs.  $\xrightarrow{\text{Assoc}}$  Cat.)

Explicitly, this means:

	<u>CS</u>	<u><math>\mathcal{W}_\Sigma \omega^\Sigma</math></u>
•	$\beta \otimes \text{cat}$	$\mathcal{Z} \otimes \text{cat}$ it acts on
○	$\otimes \text{cat}$	pointed cat it acts on
	pointed cat	pointed object there.

To get a feel for  $\chi_B$ , let's look at the "classical" ~~way~~ CS, where  $\mathcal{C} = \text{Rep}(G)$  (for  $G$  reductive/~~Archimedean~~). Then the in  $\dim \Sigma \leq 2$ , the category in all cases is  $\mathcal{Qcoh}(\text{Loc}_{\mathbb{R}^2 \setminus G}(N))$  for manifold  $N$  thought of as  ~~$\mathbb{R}^2 \setminus G$~~   ~~$\mathbb{R}^2 \setminus \text{diag}$~~  on  $E_{2-\dim N}$  cat.

Instead of thinking of it this way, we can think of it as the space of local systems, aka the character stack (or variety...).

Classical fact: if  $\dim \Sigma = 2$ ,  $\partial\Sigma = \emptyset$ , then  $\text{Loc}_G \Sigma$  is symplectic. Pf: At a flat connection  $A$ , the derived tangent bundle is  $T_A \text{Loc}_G \Sigma = \mathcal{L}_\Sigma^0 \otimes \text{ad}^* \xrightarrow{\partial_A} \mathcal{L}_\Sigma^1 \otimes \text{ad}^* \xrightarrow{\partial_A} \mathcal{L}_\Sigma^2 \otimes \text{ad}^*$

For an wedge in  $\mathcal{L}$  and integrate, and use to metrize on  $\text{ad}^*$  pair in  $\text{ad}^* \cong \text{ad}$ .

I claim that any conformal structure on  $\Sigma$

(13)

picks out a lagrangian in  $\text{Loc}_G\{\}$  consisting of pairs (a  $G$  local sys, w/ a  $\mathbb{R}$  holomorphic section). This is ~~the~~ the stackification of the groupoid  $\frac{\{\text{holomorphic maps to } G\}}{\{\text{constant maps to } G\}}$ .

I will call this  $\text{WZW}_G^X(\Sigma)$ .

Forgetting the section gives the map  $\text{WZW}_G^X \rightarrow \text{Loc}_G$ . What is the tangent space to  $\text{WZW}_G^X(\Sigma)$ ? ~~We~~ We should take the above groupoid and work it out. we get (near tr.-connect):

$$\begin{array}{ccc} \mathbb{R} - \mathbb{B} & [0] & [1] \\ \mathbb{R}^{0 \otimes g} \xrightarrow{\partial} \mathbb{R}^{1 \otimes g} \xrightarrow{\partial} \mathbb{R}^{2 \otimes g} & \xrightarrow{\partial} & \text{CS complex} \\ \downarrow & \mathbb{R}^{0 \otimes g} \xrightarrow{\bar{\partial}} \mathbb{R}^{1 \otimes g} & \text{Dolbeault complex} \end{array}$$

Sure enough, if you pull back the symplectic form, you get something exact.

Note: There's a cancellation along diag, so  $T_{\Sigma} \text{WZW} \simeq \mathbb{R}^{1,0} \xrightarrow{\bar{\partial}} \mathbb{R}^{1,1} \otimes g$ . (Everyone should really be twisted by connection  $A$ ) Then the map  $\text{WZW}^X \hookrightarrow \text{CS}$  is inclusion  $\mathbb{R}^{1,0} \xrightarrow{\bar{\partial}} \mathbb{R}^{1,1} \hookrightarrow \mathbb{R}[1]$ .

In any case, there's also an antichiral part with  $\partial$  in place of  $\bar{\partial}$ . What is  $\text{WZW}^X(\Sigma) \times \text{WZW}^{-X}(\Sigma)$

$\text{CS}(\Sigma)$

The intersection of the two lagrangians? We can capture its tangent bundle:

[ - ]

[ 0 ]

[ 1 ]

$$\begin{array}{ccc}
 & \mathcal{R}^0 & \\
 \downarrow \partial & \xrightarrow{\quad} & \mathcal{R}^{1,0} \\
 & \mathcal{R}^0 & \xrightarrow{\quad} \mathcal{R}^1 \\
 & \downarrow \partial & \xrightarrow{\quad} \mathcal{R}^2 \\
 & \mathcal{R}^0 & \xrightarrow{\quad} \mathcal{R}^{0,1} \\
 & \downarrow \partial &
 \end{array}$$

Cancel the  $\mathcal{R}^0$  with its (diagonal) image in  $\mathcal{R}^0 \oplus \mathcal{R}^0$ , and cancel  $\mathcal{R}^1$  w/  $\mathcal{R}^{1,0} \oplus \mathcal{R}^{0,1}$ . You get

$$\begin{array}{ccc}
 \mathcal{R}^0 & \xrightarrow{\quad} & \mathcal{R}^2 \\
 [ 0 ] & & [ 1 ]
 \end{array}$$

and chasing the differential gives  $\Delta = \partial\bar{\partial} + \bar{\partial}\partial$ . So this is "full WZW", i.e. harmonic maps.

If you have, in fact, the coming coming from  $G$ , you get actually not harmonic but the adjustment by the WZW term, I think.

That's (classically) what's going on at  $\Sigma \times \partial\Sigma = \emptyset$ . What about in lower dim?

$WZW^*(pt) \approx G[[z]]/G \Sigma$ . really it's genus of holomorphic maps mod constants. And  $WZW^*(S^1) \approx \mathbb{Z}/G$ . something roughly the  $= G[[z, z^{-1}]]/G$  loop group.

Then I guess the claim is that

$$\frac{G[-2]}{G} \rightarrow BG$$

B a Lagrangian for the (-2)-shifted  
symp structure on BG?

Anyway, that's as far as I  
understand things, so I'll stop here.