

§ WKB

Recall from Kolya's lectures: we have an operator $H = -\frac{\hbar^2}{2m} \Delta + V(q)$ acting on some function space $C(\mathbb{R}^n)$ — probably L^2 , but then it's unbounded, and in general any particular choice for this type of thing probably won't work. Anyway, we get the unitary operator $U^\hbar(t) = \exp(\frac{i}{\hbar} \hat{H} t)$ and its kernel

$$U^\hbar(t, q_0, q_1) = \langle q_1 | U^\hbar(t) | q_0 \rangle = (U^\hbar(t) (\delta_{q_0}))(q_1),$$

which is distributional and satisfies:

(1) Initial value: as $t \rightarrow 0$, $U^\hbar(t, q_0, q_1) \rightarrow \delta(q_0 = q_1)$ as $\hbar \rightarrow 0$

(2) Schrödinger: $-i\hbar \frac{\partial}{\partial t} U^\hbar(t, q_0, q_1) = \hat{H}_{q_1} U^\hbar(t, q_0, q_1)$

(3) Semi-group: $U^\hbar(t_1 + t_2, q_0, q_2) = \int_{\mathbb{R}^n} U^\hbar(t_1, q_0, q_1) U^\hbar(t_2, q_1, q_2)$

As $\hbar \rightarrow 0$, we can ask two important "perturbative" questions:

(a) Analytical: As $\hbar \rightarrow 0$, does $U^\hbar(t, q_0, q_1)$ have an asymptotic expansion?

(b) Algebraic: What is it?

We'll make a few comments about (a) but basically ignore it, and focus on (b).

Let's take as an ansatz that $U^\hbar = \exp(\frac{i}{\hbar} S(t, q_0, q_1)) \cdot O(1)$

Then S satisfies the Hamilton-Jacobi equation $\frac{1}{2m} \frac{\partial^2 S}{\partial q_1^2} + V(q) = 0$.

We have a favorite solution for this, namely Hamilton's principal function:

Defn: Recall that $\text{maps } [0, t] \rightarrow \mathbb{R}^n$ satisfying $E = \dots$

Defn: Recall that the Euler-Lagrange equations are non-degenerate 2nd

Then:

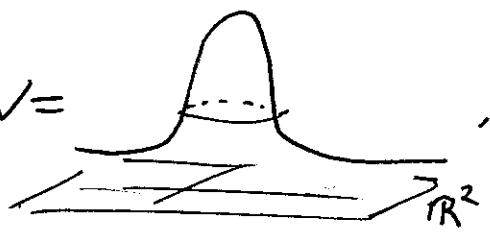
$$\begin{aligned} \{ \text{classical paths of duration } t \} &\xrightarrow{\gamma \mapsto (\gamma(0), \gamma(t))} T\mathbb{R}^n \text{ is an open submanifold} \\ \{ \text{paths } [0, t] \rightarrow \mathbb{R}^n \} & \\ \text{satisfying EL} & \end{aligned}$$

We can also take boundary conditions: $\{ \text{classical paths of duration } t \} \rightarrow \mathbb{R}^n \times \mathbb{R}^n$
 by $\gamma \mapsto (\gamma(0), \gamma(t))$. Say that γ is non-local if this latter map is locally a diffeomorphism near γ .

Defn: A ~~2nd~~ ^{2nd-order} classical mechanical system on a manifold N is globally hyperbolic if $\{ \text{classical paths} \} \rightarrow M \times M$
 $\gamma \mapsto (\gamma(0), \gamma(t))$
 is an isomorphism for all $t > 0$.

Remark: The analysis in (a) is much simpler in the globally hyperbolic case.

Counter example: If $n=2$ and $V =$



then not globally hyperbolic.

Anyway, Defn: Given a non-local γ , extend to ~~the~~
 a family depending on ~~the~~ $\gamma(0) = z_0, \gamma(t) = z_1$. Then
 the Hamilton principal function is $S_\gamma(t, z_0, z_1) = \int_{z=0}^t \text{action}(\gamma_{z_0, z_1})$

~~It is a partial function on $M \times M$ depending on a choice of γ , but I will suppress this.~~

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Recall / Exercise:

If γ is non-focal, then $\frac{\partial^2 S_\gamma}{\partial z_0^i \partial z_1^j}$ is an invertible matrix, and the 1st-order ~~or~~ in \hbar ~~the~~ solution to Schrödinger's equation (2) is

$$U^\hbar(t, z_0, z_1) \sim \exp\left(\frac{i}{\hbar} S_\gamma(t, z_0, z_1)\right) \cdot \sqrt{\left| \frac{\partial^2 S_\gamma}{\partial z_0^i \partial z_1^j} \right|} \cdot (1 + O(\hbar))$$

Actually, to get (1), we should correct this by ~~$\sqrt{2\pi i \hbar}$~~ $\sqrt{2\pi i \hbar}^n$, at least when γ does not contain a focal subpath, so that the family can be continued to $t \rightarrow 0$.

Transfer equation:

So we take as an ansatz that

$$U^\hbar = \exp\left(\frac{i}{\hbar} S\right) \cdot \sqrt{\left| \frac{\partial^2 S}{\partial z_0^i \partial z_1^j} \right|} \cdot \left(1 + \sum_{k \geq 1} (i\hbar)^k a_k(t, z_0, z_1) + O(\hbar^\infty) \right)$$

Then the a_k 's satisfy an ~~transfer equation~~ eikonal equation:

~~$$\left(+ \frac{\partial}{\partial t} + \frac{1}{m} \sum_j \frac{\partial S}{\partial z_1^j} \frac{\partial}{\partial z_1^j} \right) a_k = \left(\frac{1}{2m} \Delta + \frac{1}{m} \sum_j \frac{\partial}{\partial z_1^j} \log \left| \frac{\partial^2 S}{\partial z_0^i \partial z_1^j} \right| \frac{\partial}{\partial z_1^j} \right) a_{k-1} + \dots$$~~

$$\left(+ \frac{\partial}{\partial t} + \frac{1}{m} \sum_j \frac{\partial S}{\partial z_1^j} \frac{\partial}{\partial z_1^j} \right) a_k = \left(\frac{1}{2m} \Delta + \frac{1}{m} \sum_j \frac{\partial}{\partial z_1^j} \log \left| \frac{\partial^2 S}{\partial z_0^i \partial z_1^j} \right| \frac{\partial}{\partial z_1^j} \right) a_{k-1} + \dots$$

The goal of the remainder of this lecture and next is to give a heuristic derivation, and then a verification, of explicit integral expressions for the a_k functions.

Remark: Actually, everything works with time-varying m , V , and with a magnetic term $\sum_j B_j(\mathbf{z}) \frac{\partial}{\partial \mathbf{z}^j}$ in the Lagrangian. Oh, and with curved metrics on arbitrary manifolds, although that introduces subtleties that I hope to get to next time.

§ Path Integrals

The way we will predict explicit ^{integral} formulas for the a_k 's is by thinking about Quantum Mechanics from a very different perspective, namely Feynman's path integral. Recall that $U^\hbar(t, \mathbf{z}_0, \mathbf{z}_1)$ satisfies a governing equation:

$$\int_{\mathbb{R}^n} U^\hbar(t_1, \mathbf{z}_0, \mathbf{z}_1) U^\hbar(t_2, \mathbf{z}_1, \mathbf{z}_2) d\mathbf{z}_1 = \hbar U^\hbar(t_1+t_2, \mathbf{z}_0, \mathbf{z}_2)$$

If we subdivide $[0, t]$ into many small pieces, then

$$U^\hbar(t, \mathbf{z}_0, \mathbf{z}_1) = \int \prod U^\hbar\left(\frac{t}{N}, \gamma(z), \gamma\left(z + \frac{t}{N}\right)\right) d\gamma$$

maps: $\gamma: \left\{ \frac{t}{N}, \frac{2t}{N}, \dots, \frac{(N-1)t}{N} \right\} \rightarrow \mathbb{R}^n$

where the product ranges over $\gamma = \left\{ 0, \frac{t}{N}, \frac{2t}{N}, \dots, \frac{(N-1)t}{N} \right\}$, and

$$d\gamma = \prod_{z \in \left\{ \frac{t}{N}, \dots, \frac{(N-1)t}{N} \right\}} d\gamma(z). \quad \text{Writing } U^\hbar = \exp\left(\frac{i}{\hbar} S^\hbar\right), \text{ we have}$$

$$= \int_{\text{maps}} \exp\left(\frac{i}{\hbar} \sum_z S^\hbar\left(\frac{t}{N}, \gamma(z), \gamma\left(z + \frac{t}{N}\right)\right)\right) d\gamma.$$

Now, if the potential $V(q)$ satisfies some conditions, then we can estimate $U^{\hbar}(\frac{t}{N}, q_0, q_1)$ for $N \rightarrow \infty$ to be supported only when $|q_1 - q_0| = O(\frac{1}{N})$, and then $S^{\hbar} \approx S_{cl}(\frac{t}{N}, q_0, q_0 + \frac{t}{N} v_0) \approx \frac{t}{N} \cdot L(q_0, v_0)$. Warning: these estimates break down in many interesting cases.

So then we can make a huge leap of faith that these estimates are sufficiently uniform to survive in the limit as $N \rightarrow \infty$ of the $\frac{N}{\hbar}$. If they do, we would have:

Prediction (Feynman):

$$(*) \quad U^{\hbar}(t, q_0, q_1) = \int \exp\left(\frac{i}{\hbar} \int_{z=0}^t L(\gamma(z), \dot{\gamma}(z)) dz\right)$$

Maps $\gamma: [0, t] \rightarrow \mathbb{R}^n$

s.t. $\gamma(0) = q_0, \gamma(t) = q_1$

What kind of maps? Who knows?

There are ways to define this integral analytically, at least when $V(q)$ allows the above estimates (say, grows not worse than quadratically in q, \dots), essentially by approximating paths by piecewise lines.

The answer is ~~very~~ ^{quite} sensitive to the details of the approximation used, and I will ignore it. Instead, let's try to get the $\hbar \rightarrow 0$ asymptotics.

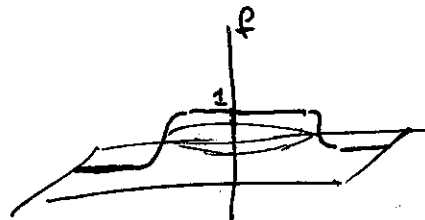
§ Asymptotics of oscillating integrals

What we will do is to pretend that the RHS of (*) is well-defined, and that infinite-dimensional oscillating integrals enjoy the same asymptotics as do finite-dimensional ones. So let's study:

$$\int_{\mathbb{R}^N} \exp\left(\frac{i}{h} A(x)\right) dx, \quad A \text{ a smooth function.}$$

Of course, this integral is not absolutely convergent, but it might be conditionally convergent. But then the method used to approximate it matters. We will take:

$$= \lim_{(\text{supp } f \rightarrow \infty)} \int_{\mathbb{R}^N} f \exp\left(\frac{i}{h} A(x)\right) dx, \quad f \text{ a compactly-supported bump function.}$$



In particular, we will use smooth cut-offs.

Fundamental Theorem of oscillating integrals

Let f be a compactly supported smooth function and A smooth. If A has no critical points within the ~~support~~ support of f , then

$$\int f \exp\left(\frac{i}{h} A\right) = O(h^\infty).$$

Remark: the coefficients in the estimates depend on $|f'|$,

notes by Evans + Zwariski.

So, we want:

$$\int_{\mathbb{R}^N} \exp\left(\frac{i}{\hbar} \sum_{k=0}^{\infty} \frac{A^{(k)}}{k!} x^k\right) dx.$$

note: $A^{(1)} = 0$, since we said $p=0$ is a critical point.

$$= \exp\left(\frac{i}{\hbar} A^{(0)}\right) \cdot \int \underbrace{\exp\left(\frac{i}{\hbar} A^{(2)} \frac{x^2}{2}\right) \exp\left(\sum_{k \geq 3} \dots\right)}_{\substack{\uparrow \\ \text{a Gaussian!}}} dx$$

change coordinates $\xi = \frac{x}{\sqrt{\hbar}}$.

$$= \exp\left(\frac{i}{\hbar} A^{(0)}\right) \int \underbrace{\exp\left(i A^{(2)} \frac{\xi^2}{2}\right)}_{\substack{\exp\left(i \sum_{k \geq 3} \hbar^{\frac{k}{2}-1} \frac{A^{(k)}}{k!} \xi^k\right) \\ = O(\sqrt{\hbar}), \text{ justifying} \\ \text{the use of Taylor expansion...}}} dx \sqrt{\hbar}^N$$
$$= \sum_{l \geq 0} \frac{i^l}{l!} \left(\sum_{k \geq 3} \hbar^{\frac{k}{2}-1} \frac{A^{(k)}}{k!} \xi^k \right).$$

Bla bla bla bla bla bla bla bla bla

Who knows how to compute Gaussian integrals?

First, we need to make the integral converge. What we do is to push the contour into the complex plane s.t. $iA^{(2)}$ has negative-definite real part.

Exercise: If $A^{(2)}$ is a real symmetric nondegenerate 2-tensor

then $\int_{\mathbb{R}^N} \exp(iA^{(2)} \frac{x^2}{2}) dx = \sqrt{2\pi}^N \frac{1}{\sqrt{|\det A^{(2)}|}} \cdot i^{-\eta(A^{(2)})}$

~~Now~~ Here " \sqrt{i}^N " = $e^{i\frac{N\pi}{4}}$, and $\eta(A^{(2)}) \stackrel{\text{def}}{=} \dots$

the number of negative eigenvalues of $A^{(2)}$ = maximal dimension of any subspace of \mathbb{R}^N in which $A^{(2)}$ is neg. def = dim of any maximal subspace of \mathbb{R}^N in which $A^{(2)}$ is negative definite.

Now, what about all the other terms? First, how to represent them? We have

$$\sum_{l=0}^{\infty} \frac{1}{l!} \left(\sum_{k=3}^{\infty} A^{(k)} \frac{x^k}{k!} \right)^2$$

Remember: x is really a vector of variables, i.e. a $(1,0)$ -tensor, so $x^k = x^{\otimes k}$ is a $(k,0)$ -tensor, and $A^{(k)}$ is a $(0,k)$ -tensor. So we

can think of $A^{(k)} = \underbrace{\begin{matrix} \dots \\ \dots \\ \dots \end{matrix}}_{\text{Sym.}} \underbrace{\begin{matrix} \dots \\ \dots \end{matrix}}_{A^{(k)}}$ $x = \boxed{x}$.

How do we go from boxes to $\sum A^{(k)} \frac{x^k}{k!}$? ~~The~~ The

function A picks out a map

{vertices} \mapsto functions on \mathbb{R}^n

• $\bullet, \vee, \vee, \vee, \dots$

$\vee \mapsto A^{(k)} x^k$

~~The~~ LHS is a groupoid ~~with~~ \mathcal{F} , and this ~~is~~ ^{function}

is equivariant: $\mathcal{F} \rightarrow \mathcal{O}(\mathbb{R}^n)$. Anyway,

~~The~~ $\sum A^{(k)} \frac{x^k}{k!} = \int_{\mathcal{F}} (\text{this assignment})$.

Or, if we just want the sum to start w/ cubes, set

$\mathcal{F} = \{ \text{vertices w/ valence} \geq 3 \}$.

Exercise: Consider the groupoid $\text{exp}(\mathcal{F})$

~~of~~ objects = disjoint ^{ordered} collections of vertices.

and obvious symmetries. E.g. $\emptyset, \vee, \vee, \vee$

Then $\int_{\text{exp}(\mathcal{F})} (\text{this assignment}) = \text{exp} \left(\int_{\mathcal{F}} (\cdot) \right)$

↑
extend by
 $\bullet \mapsto \bullet$

Remark: if we used ordered ~~sets~~ collections, you'd get $\frac{1}{1-}$

So, we want to compute

$$\int d\vec{z} \exp(i t^{(2)} \frac{z^2}{2}) \cdot \underbrace{\sum_{l=0}^{\infty} \frac{1}{l!} \left(\sum_{k=3}^{\infty} t^{(k)} \frac{z^k}{k!} \right)^l}_{" "}$$

$$\begin{aligned} & \phi + \psi + \psi + \dots \\ & + \psi \psi + \psi \psi + \dots \\ & + \psi \psi \psi + \dots \\ & + \dots \end{aligned}$$

At each summand, we don't naturally have a symmetric tensor, but just one tensor, so we need to understand

$$\int d\vec{z} \exp(i t^{(2)} \frac{z^2}{2}) \cdot \underbrace{\begin{array}{c} \text{I I I} \\ | | \dots | \\ \boxed{B} \end{array}}_{B \cdot z^m}$$

Prop: Given B a tensor B , consider the function of two variables $B \cdot y x^{m-1} = \begin{array}{c} y x x \dots x \\ | | \dots | \\ \boxed{B} \end{array}$

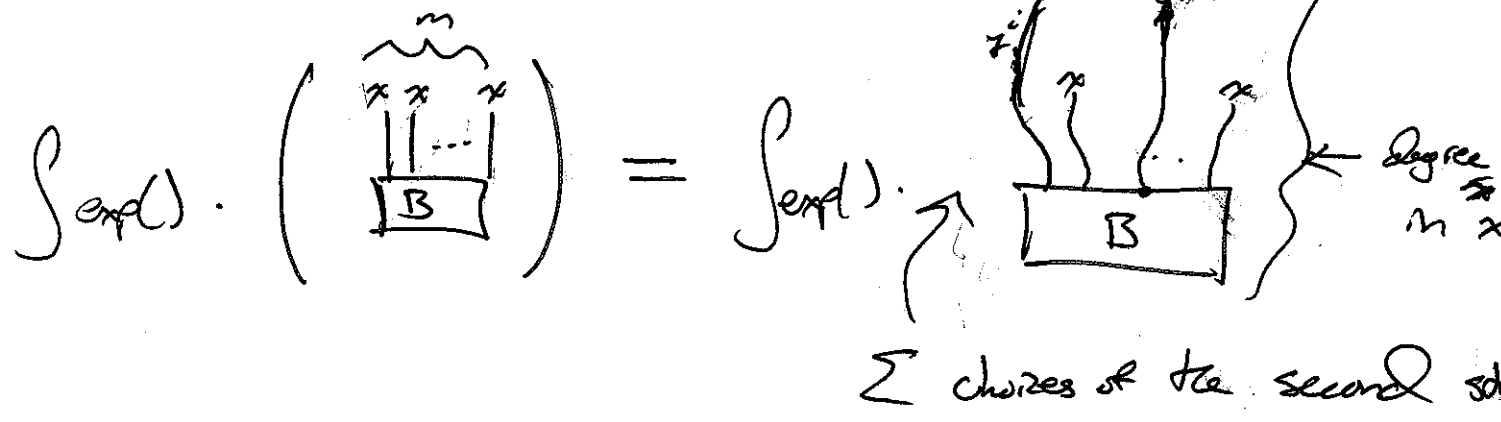
$(y, x \in \mathbb{R}^m)$ Then

$$\int_{\mathbb{R}^N} dx \exp\left(-\frac{1}{2} A^{(2)} x^2\right) B x^m$$

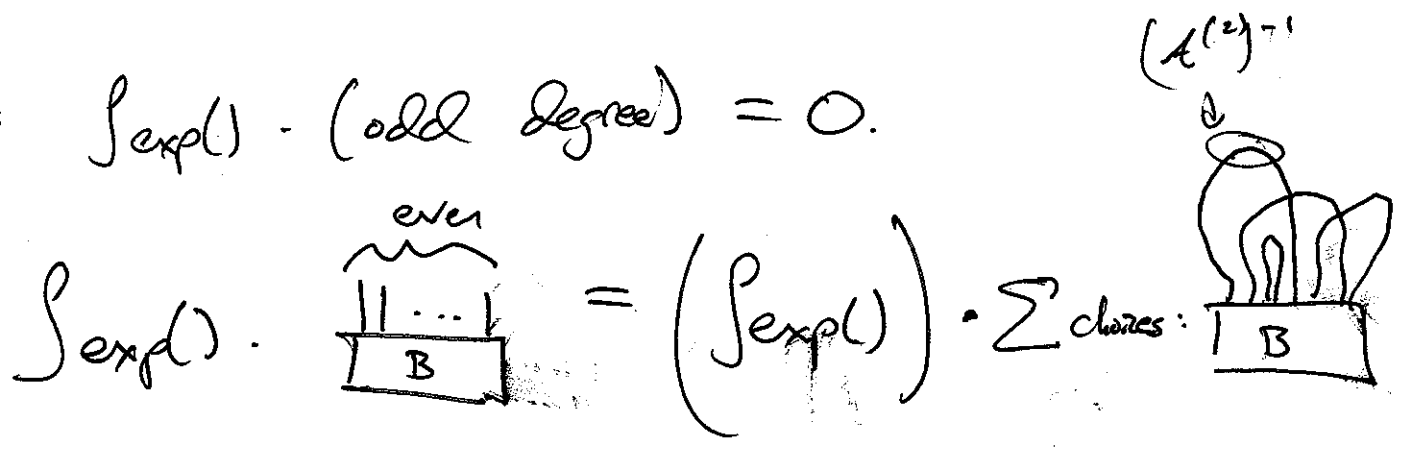
$$= \int_{\mathbb{R}^N} dx \exp\left(\sum_{i,j} \frac{\partial}{\partial x^i} \frac{\partial}{\partial y^j} (B y x^{m-1}) \cdot (A^{(2)})^{-1}\right)$$

$(i,j) = 1, \dots, N$

Proof: Exercise. Hint: \int by parts.



Cor: $\int \exp(\dots) \cdot (\text{odd degree}) = 0.$



~~$\int \exp(\dots)$~~ ~~gapped integral~~ over


Cor:

$$\int_{\mathbb{R}^N} d\mathcal{Z} \exp(iA^{(2)} \frac{\mathcal{Z}^2}{2}) \cdot \sum_{l \geq 0} \frac{1}{l!} \left(\sum_{k \geq 3} i^{\frac{k-1}{2}} A^{(k)} \frac{\mathcal{Z}^k}{k!} \right)^l$$

$$= \left(\int_{\mathbb{R}^N} d\mathcal{Z} \exp(iA^{(2)} \frac{\mathcal{Z}^2}{2}) \right) \cdot \int \mathcal{G} \text{ (Feynman rules)}$$



where \mathcal{G} = groupoid of closed diagrams,
all vertices trivalent or higher.

(Feynman rules) =  \mapsto ~~with~~ $i^{\frac{k-1}{2}} A^{(k)}$

 \mapsto $(+i) \cdot (A^{(2)})^{-1}$

~~can~~ extend $\cup \mapsto \otimes$, contraction \mapsto contraction of diagrams \rightarrow contraction of tensors

[Aside: enriched in groupoids. $\text{Cat}^{\mathcal{G}}$ of graphs, sym^{\otimes} , (Feynman rules) = Syn^{\otimes} Graphs \rightarrow Vec
 $\mathcal{G} = \text{Hk}(\emptyset, \emptyset)$

Slight rewriting: ~~power series~~  $\mapsto -t^{(k)}$, so $\mathcal{H} =$
 $\mapsto (A^{(2)})^{-1}$

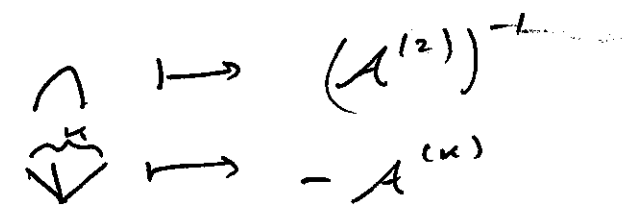
All together:

small bump picking out cp. at 0.

$$\int_{\mathbb{R}^N} \exp\left(\frac{i}{h} A(x)\right) dx = O(h^{\infty}) +$$

$$\Rightarrow \exp\left(\frac{i}{h} A^{(0)}\right) \cdot \sqrt{2\pi h i}^{-N} \cdot \frac{1}{\sqrt{|\det A^{(2)}|}} \cdot (-i)^{\#}$$

Sum of ~~degrees~~ ^{all vertices valence ≥ 3} ω



each degree is weighted by $(i)^{\#}$

Thm: RHS computes asymptotics of LHS. See Evans + Zworski or Exercise

§ Comparison with WKB

Finally, recall the WKB expansion

$$U = \exp\left(\frac{i}{h} S\right) \cdot \sqrt{2\pi h i}^{-N} \cdot \sqrt{|\det \frac{\partial^2 S}{\partial q_0 \partial q_1}|} \cdot (-i)^{\#} \cdot (1 + O(h))$$

The function $S = S(h, q_0, q_1)$ is nothing but $A^{(0)}$ as

now "x" ranges over paths $[0, t] \rightarrow \mathbb{R}^n$
sending $0 \mapsto q_0$ and $t \mapsto q_1$, and ~~the~~

$$A(x) = \int_0^t L(x, \dot{x}) dt$$

is the classical action.

The comparison suggests that

$$\dim \{ \text{paths} \} = -n,$$

whatever that is supposed to mean, and that

$$|\det A^{(2)}| = \left| \det \frac{\partial^2 S}{\partial \dot{q}_0 \partial \dot{q}_1} \right|^{-1}$$

where $A^{(2)}$ can be interpreted as the differential operator for Jacobi fields - this equation at least can be justified using zeta regularization. There is a Morse-index term which vanishes when there is a unique classical path connecting any two points; otherwise it makes sense and is finite.

Finally, there is the $(1 + O(\hbar))$ corrections. In fact, one can make sense of Feynman diagrams for $A = \text{action}$, and the diagrams do satisfy Schrödinger and are the asymptotics of WKB.